

Legislative Appropriations Request

For Fiscal Years 2022 and 2023

**Submitted to the
Office of the Governor, Budget Division,
and the Legislative Budget Board**

by



Public Utility Commission of Texas

September 18, 2020

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High-Level Agency Overview

In 1975, Texas became the last state in the country to provide for state-wide comprehensive regulation of electric and telecommunications utilities by creating the Public Utility Commission (PUC). For approximately the first twenty years of the PUC's existence, the agency's primary role was traditional cost of service rate and certificate of convenience and necessity (CCN) regulation of electric and telecommunications utilities. Significant legislation enacted by the Texas Legislature in 1995, along with the Federal Telecommunications Act of 1996, dramatically changed the PUC's role by allowing for competition in telecommunications wholesale and retail services, and by creating a competitive electric wholesale market. In 1999, the Legislature provided for restructuring of the retail electric utility industry, further changing the PUC's mission and focus. Then, in 2005, the Legislature provided for additional deregulation of telecommunications markets with the passage of Senate Bill 5, with further deregulation in 2011 with the passage of Senate Bill 980.

Although the PUC's traditional regulatory functions related to telecommunications regulation have markedly decreased over the past decade, many of those functions have been replaced by other, more challenging responsibilities, particularly in the electric industry. The PUC is unique as the nation's only state regulator that oversees most of the state's wholesale electric market without federal involvement, due to the wholly intrastate nature of the Electric Reliability Council of Texas (ERCOT), which covers the vast majority of Texas. More than 384 billion kilowatt-hours of energy were used in the ERCOT region in 2019, with more than 426 power generation companies and 118 retail electricity providers registered or licensed by the PUC. Restructuring of the utility industry is not simply elimination of regulation. Effective oversight of competitive wholesale and retail markets is necessary to ensure that customers receive the benefits of competition, and the PUC continues to perform its traditional regulatory function for transmission and distribution utilities across the state. Additionally, the retail rates of integrated investor-owned utilities outside of the ERCOT power grid remain fully regulated by the PUC. And, the PUC is increasingly involved in multi-state efforts to implement competitive wholesale market structures and appropriate transmission planning in the Southwest Power Pool (SPP) and Midcontinent Independent System Operator (MISO) areas.

The passage of HB 1600 by the Texas Legislature in 2013 transferred regulatory authority for water rates and service area CCNs of approximately 600 water and sewer utilities from the Texas Commission on Environmental Quality (TCEQ) to the PUC beginning in September 2014. The legislation also required the PUC to implement a new rate setting process, whereby the type and intensity of review is dependent upon the number of connections a utility has at the time an application is submitted. The PUC adopted rules governing the programs as transferred in July 2014, and adopted rules to govern the enhanced rate program in August 2015. The PUC continues to identify areas of water utility regulation where amended regulations are needed to ensure consistency with the Texas Water Code and to improve the regulatory process. In fact, SB 700 from the 86th Legislative Session in 2019 granted the PUC additional rulemaking authority to improve several aspects of water utility regulation.

Over the past two decades, the PUC has not realized a significant increase in General Revenue funding. For example, the PUC's operational funding for electric and telecommunications work in fiscal year 2003 totaled approximately \$12.6 million. Prior to the required five percent budget reduction for fiscal year 2021, the PUC was appropriated \$13.8 million in General Revenue funding. That \$1.2 million funding increase represents a 9.5 percent increase in 18 years. Additionally, the PUC's Full-Time-Equivalent (FTE) cap was 242.0 in 2003. Prior to implementing the five percent reduction, the PUC's FTE cap was 209.0, which includes more than 30 FTEs for water utility regulation, which was not a PUC responsibility in 2003. The PUC believes its General Revenue funding and FTE cap changes over the last 18 years demonstrate the agency's commitment to financial stewardship.

The PUC is composed of three Commissioners appointed by the Governor with the advice and consent of the Senate. The Commissioners serve staggered six year terms with the Governor designating the Commission Chairman. The agency employs an executive director who is responsible for the daily operations of the PUC and for coordinating the activities of PUC staff. The three Commissioners and the Executive Director are exempt from the State Classification Act; all other agency employees are classified employees.

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The Commission is currently composed of the following members:

PUC COMMISSIONERS

	TERM	CITY
Chairman DeAnn T. Walker	September 20, 2017 – August 31, 2021	Austin
Commissioner Arthur C. D'Andrea	November 14, 2017 – August 31, 2023	Austin
Commissioner Shelly Botkin	June 11, 2018 – August 31, 2019	
Reappointed	September 1, 2019 – August 31, 2025	Austin

The PUC's current organizational structure is based on the agency's major functional responsibilities and reflects the Commission's mission, goals, and objectives as set out in its Strategic Plan. The major program area divisions are Agency Operations, Customer Protection, Competitive Markets, Infrastructure and Reliability, Rate Regulation, Utility Outreach, Legal, and the Office of Policy and Docket Management. This structure has been in place since August 2020, when the PUC undertook a comprehensive reorganization to better align the structure with the Commission's statutory responsibilities. The reorganized PUC structure is more dynamic, allowing for easier adaptation to changes in law and the industries the agency oversees. In 2019, PUC executive management created the Division of Utility Outreach (DUO). The mission of this division is to provide outreach and education to water and wastewater utilities to promote regulatory compliance and preserve the financial and managerial integrity of these utilities, while allowing them to provide continuous and adequate service to customers across the state. DUO works with water and wastewater utilities to better understand and comply with the laws and rules that guide the industry's actions. Additionally, in 2020, the PUC reorganized its Oversight and Enforcement division by reallocating attorneys to the Legal Division and transferring all non-attorneys to other divisions within the agency where their education and experience would best be utilized. This reorganization was implemented to better align the agency's staffing with anticipated workload. While the PUC will continue to engage in oversight and enforcement activities, its workforce is now better positioned to work on other matters such as rate cases and utility outreach, as determined by the amount and type of work at the PUC at any given time.

Baseline Appropriations Request

The PUC's appropriation request was developed in accordance with the detailed instructions provided by the Office of the Governor, Budget Divisions, and the Legislative Budget Board. The total General Revenue-related limit for the PUC for the 2022-2023 biennium is \$32.2 million (\$26.26 million in General Revenue and \$5.92 million in Water Resource Management Account No. 153), which is a 5 percent reduction from the agency's 2020-2021 appropriation.

The PUC's baseline General Revenue-related request represents 99.93% of its approved General Revenue-related limit. The difference reflects lower projected costs from the Department of Information Resources for the PUC's Data Center Consolidation project costs for 2022-2023 compared to the 2020-2021 biennium. The PUC believes reducing the agency's baseline request by this amount is a more fiscally responsible action, than reallocating that funding to another item of appropriation. Therefore, the baseline request includes approximately \$26.24 million in General Revenue funds; approximately \$5.92 million in General Revenue – Dedicated Water Resource Management Account (WRMA) funds; and \$0.95 million in Appropriated Receipts funds. The baseline request also includes a reduction in the PUC's full-time employee (FTE) cap from 209.0 to 202.0 for the 2022-23 biennium. This FTE cap reduction results from a reduction-in-force initiated by the PUC's Executive Director to achieve the 5% appropriate reduction requested by the Governor, Lieutenant Governor, and Speaker of the House for the current biennium.

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Impact of the Five Percent Reduction for the 2020-2021 Biennium

On May 20, 2020, the Governor, Lieutenant Governor, and Speaker of the House sent a letter to agency heads requiring each state agency to submit a plan identifying savings that would reduce general and general revenue-related appropriations by five percent for the 2020-2021 biennium. The letter asked agencies to “pursue cost saving strategies that will not affect the state’s response to COVID-19, including foregoing any capital expenditures that can be deferred, any avoidable travel expenditures, any administrative expenses that are not mission critical, and keeping unfilled any open positions that are not essential to the COVID-19 response.” In response to this request, the PUC enacted several initiatives to meet the five percent reduction, while limiting the impact to agency operations in the short-term. First, the PUC suspended all discretionary training and travel for the remainder of the biennium. The PUC places great value on employee development; however, training that is not required to obtain or maintain professional credentials will be delayed. Second, the PUC placed a moratorium on all personnel actions, except for equity adjustments and in-process merits, for the remainder of the biennium. Given the significant budget uncertainty facing the state, the agency believes this action is a necessary step in any prudent fiscal management plan. Third, the PUC instituted a soft hiring freeze, which requires a hiring manager to justify the need to short-term operational need to fill each vacated position before the position may be posted and filled. The PUC’s executive director may authorize a hiring manager to fill a vacancy if the impact of the position remaining unfilled will result in the PUC failing to meet its statutory obligations. Finally, the PUC implemented a reduction-in-force (RIF) of seven Full-Time-Equivalent (FTE) positions. For context, salaries account for approximately 90.0 percent of the PUC expenditures each fiscal year. The RIF focused on middle management positions and those in discretionary areas of agency operations, such as enforcement and market analysis. Agency executive management believes that these FTE reductions, while impactful, can be managed in the short-term for the remainder of the biennium. COVID-19 continues to have a dramatic impact on state revenues and the PUC, like all public institutions has been forced to navigate the operational challenges of a reduced budget, while continuing to fulfill its mission to serve the people of Texas. The reduction strategy that has been implemented has allowed the PUC to continue protecting customers, fostering competition, and promoting high quality infrastructure.

Exceptional Items

The PUC is requesting two exceptional items totaling approximately \$2.21 million in General Revenue-related funding for the 2022-23 biennium. This total is comprised of \$1.38 million in General Revenue and \$0.83 million in General Revenue-Dedicated Water Resource Management Account No. 153 (WRMA) funding. Although both requests include funding for additional positions, the PUC does not believe approving these requests would necessitate an increased FTE cap.

The agency’s first exceptional item request is restoration of the five percent General Revenue-related budget reduction in the current biennium. This reduction in appropriation authority totals \$1,693,661, of which \$1,382,121 is General Revenue and \$311,540 is WRMA funding. As stated in the previous section, in order to comply with the five percent budget reduction, the PUC placed a moratorium on discretionary training and travel and eliminated 21 positions by implementing a reduction-in-force and hiring freeze. The restoration of this funding would allow the PUC to resume its training program that provides both technical and managerial employee development to staff and permit the PUC to fill 12 vacancies that are critically important to fulfilling the PUC’s mission.

The PUC’s second exceptional item is for \$260,000 per year, or \$520,000 for the biennium, from the WRMA to analyze and propose comprehensive revisions to the Texas Water Code to clarify a number of existing statutory ambiguities and, where appropriate, to harmonize the regulation of water utilities with the PUC’s regulation of the electric industry. The entirety of this request is salary funding for 3 positions, comprised of an Attorney V, Attorney III, and Paralegal. In recognition of the uncertainty of state revenues, the PUC would absorb all associated costs with these positions within existing resources.

Based on numbers provided by TCEQ, the revenue generated from fees collected from entities regulated by the PUC totaled \$5,009,016 for fiscal year 2019. This amount

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represents a 10.4% increase over the amount of revenue generated in fiscal year 2017. The 2019 fee revenue represents approximately \$429,338 more in revenue than the current appropriations and indirect costs for the PUC and the Office of Public Utility Counsel (OPUC), prior to each agency's five percent reduction. Therefore, fee generated revenue will more than cover PUC's total appropriation request for fiscal years 2022 and 2023.

Operational Impacts of COVID-19

COVID-19 has impacted PUC operations in several ways. The agency has had to amend its strategies and policies to continue meeting its mission. PUC managers have had to change their management styles to effectively lead teams remotely. Beginning March 16, 2020, most PUC employees began working almost exclusively offsite. The agency established policies and procedures to allow staff to bring certain office equipment home and to reimburse employees for incurring certain costs relating to working remotely. PUC Fiscal Department staff track COVID-related expenses and report those expenditure to both the Legislative Budget Board and the Texas Division of Emergency Management. Also, on March 16, the Commissioners held an open meeting to suspend numerous rules, including any rule requiring paper copies of filings, except for confidential filings. The PUC is exploring a permanent move to a more robust e-filing system, which includes determining the costs associated with expanded e-filing. On March 25, 2020, the PUC held its first virtual open meeting. The agency continues to hold virtual open meetings with an associated toll-free number to allow for public participation. Additionally, staff has shifted to holding meetings virtually, relying most heavily on Microsoft TEAMS to conduct both internal and external meetings. This communication platform has proven essential for PUC to remain effective and productive while working remotely. COVID-19 has presented many challenges, but the PUC has successfully met these challenges by remaining nimble in reacting to new issues and leveraging technology to ensure workplace collaboration.

Authority to Charge Filing Fees

As stated above, PUC operations staff is examining the costs and benefits of transitioning to a predominantly electronic system for accepting filings. One issue that has been identified is that of cost shifting from companies and other parties to the PUC in instances where paper copies are needed by PUC staff to analyze a filing. In order to offset this cost, the agency would need statutory authority to charge fees to certain parties that make filings with the PUC. The filing fee may be set at a level not to exceed the costs incurred by the agency. These funds would flow into the PUC budget through Appropriated Receipts, which are estimated in the agency's bill pattern. Many of the filings the PUC receives are voluminous, consisting of hundreds and sometimes thousands of pages. Allowing the PUC to recover the costs associated with accepting electronic filings without requiring paper copies of these filings is necessary to implement this policy change.

Self-Funded, Self-Leveling Designation

The PUC is requesting designation as a self-funded, self-leveling agency beginning in fiscal year 2022 for the activities funded through General Revenue. Designation as a self-funded agency would require that revenue from the PUC gross receipts assessment cover the agency's General Revenue appropriation each fiscal year. Based upon the PUC's baseline General Revenue request, and assuming that revenue from the gross receipts assessment produced revenue equal to the Comptroller's estimate for fiscal year 2021, authorizing the PUC's self-leveling designation would result in an overall estimated tax reduction of \$39.0 million per year, or 74.8%.

The PUC gross receipts assessment is authorized by Public Utility Regulatory Act (PURA) §16.001(a) and (b). These provisions allow the PUC to assess one sixth of one percent of the gross receipts collected by public utilities, electric cooperatives and retail electric provisioners received from their retail customers. Funds from this assessment are remitted to General Revenue but have not been explicitly dedicated to funding the PUC. In contrast, similar assessments on water utilities, insurance companies, and other regulated entities have traditionally been used to explicitly fund the underlying regulatory programs at their respective agencies and those fees are

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generally adjustable by the respective agency to only fund the authorized appropriation.

Currently, the PUC is one of four Article VIII agencies (the others being SOAH, Health Professions Council, and OPUC) that is not designated as self-funded. Implementing this request would require the PUC to be included in the Appropriations Limited to Revenue Collections rider located in the Special Provisions Relating to All Regulatory Agencies section of the General Appropriations Act. The Comptroller's Biennial Revenue Estimate for fiscal years 2020 and 2021 estimates the PUC's gross receipts assessment will generate \$52.2 million in fiscal year 2021. The PUC's annual 2022-2023 General Revenue request is approximately \$13.2 million. Designation as a self-leveling agency would require the PUC to set the gross receipts assessment at a rate sufficient to generate revenue in the amount of the agency's General Revenue appropriation each fiscal year instead of the current statutory rate of one-sixth of one percent. This would require a statutory change to PURA §16.001(b).

Substantive Rider Changes

Performance Measure Targets: Performance measure targets for key measures have been updated based on current PUC projections.

Unexpended Balance Authority: The PUC is requesting a non-substantive change to the dates contained in this rider to align with the 2022-2023 biennium.

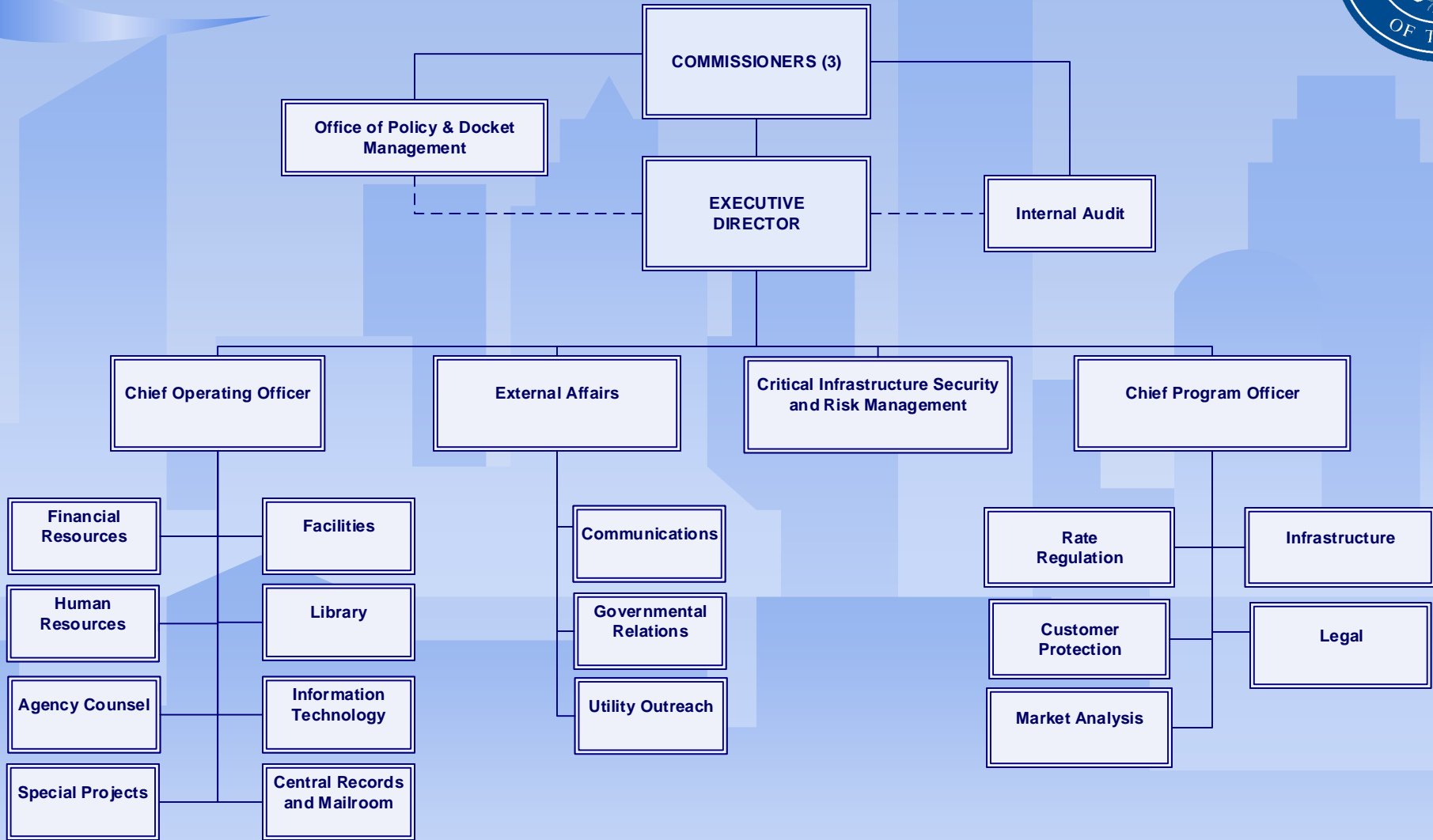
Criminal History Background Check Authority

The PUC does not have explicit statutory authority to conduct criminal background checks, and does not conduct background checks on current or prospective employees.

John Paul Urban
Executive Director

PUBLIC UTILITY COMMISSION OF TEXAS
 FUNCTIONAL ORGANIZATION CHART
 Fiscal Year 2021

September 2020





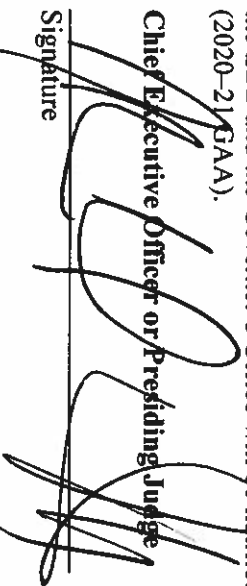
CERTIFICATE

Agency Name Public Utility Commission of Texas

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2020-21 GAA).

Chief Executive Officer or Presiding Judge

Signature 

John Paul Urban

Printed Name


Executive Director

Title

September 18, 2020

Date

Board or Commission Chair

Signature 

DeAnn T. Walker

Printed Name

Chairman

Title

September 18, 2020

Date

Chief Financial Officer

Signature 

Thomas Gleeson

Printed Name

Chief Operating Officer

Title

September 18, 2020

Date

Budget Overview - Biennial Amounts
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Appropriation Years: 2022-23

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2022-23
Goal: 1. Ensure Competition, Choice, Just Rates, and Reliable Quality Service											
1.1.1. Market Competition	8,092,186	8,085,504					275,500	275,500	8,367,686	8,361,004	616,142
1.2.1. Utility Regulation	8,202,520	8,196,068	5,513,756	5,513,756			266,000	266,000	13,982,276	13,975,824	1,173,900
1.3.1. Investigation And Enforcement	4,341,169	4,337,942	405,500	405,500			133,000	133,000	4,879,669	4,876,442	277,800
Total, Goal	20,635,875	20,619,514	5,919,256	5,919,256			674,500	674,500	27,229,631	27,213,270	2,067,842
Goal: 2. Educate Customers and Assist Customers											
2.1.1. Information And Education Efforts	2,049,394	2,048,010					47,500	47,500	2,096,894	2,095,510	50,500
2.2.1. Assist Customers	1,854,389	1,853,238					57,000	57,000	1,911,389	1,910,238	
Total, Goal	3,903,783	3,901,248					104,500	104,500	4,008,283	4,005,748	50,500
Goal: 3. Indirect Administration											
3.1.1. Central Administration	1,214,483	1,211,717					114,000	114,000	1,328,483	1,325,717	10,000
3.1.2. Information Resources	432,758	431,606					47,500	47,500	480,258	479,106	63,119
3.1.3. Other Support Services	73,397	73,166					9,500	9,500	82,897	82,666	22,200
Total, Goal	1,720,638	1,716,489					171,000	171,000	1,891,638	1,887,489	95,319
Total, Agency	26,260,296	26,237,251	5,919,256	5,919,256			950,000	950,000	33,129,552	33,106,507	2,213,661
Total FTEs									202.0	202.0	3.0

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 <i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>					
1 MARKET COMPETITION	4,441,472	4,182,703	4,184,983	4,183,527	4,177,477
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Standards</i>					
1 UTILITY REGULATION	6,715,226	7,014,383	6,967,893	7,015,178	6,960,646
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>					
1 INVESTIGATION AND ENFORCEMENT	2,371,899	2,426,494	2,453,175	2,426,891	2,449,551
TOTAL, GOAL 1	\$13,528,597	\$13,623,580	\$13,606,051	\$13,625,596	\$13,587,674
2 Educate Customers and Assist Customers					
1 <i>Inform Customers of Choices & Rights & Facilitate Information Access</i>					
1 INFORMATION AND EDUCATION EFFORTS	1,119,224	1,035,301	1,061,593	1,035,471	1,060,039
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>					
1 ASSIST CUSTOMERS	996,550	954,948	956,441	955,091	955,147

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
TOTAL, GOAL	2	\$2,115,774	\$1,990,249	\$2,018,034	\$1,990,562	\$2,015,186
3	Indirect Administration					
1	Indirect Administration					
1	CENTRAL ADMINISTRATION	654,707	662,450	666,033	662,790	662,927
2	INFORMATION RESOURCES	282,288	234,973	245,285	235,115	243,991
3	OTHER SUPPORT SERVICES	54,808	41,299	41,598	41,327	41,339
TOTAL, GOAL	3	\$991,803	\$938,722	\$952,916	\$939,232	\$948,257
TOTAL, AGENCY STRATEGY REQUEST		\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*					\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	13,631,946	12,962,153	13,298,143	12,964,992	13,272,259
SUBTOTAL	\$13,631,946	\$12,962,153	\$13,298,143	\$12,964,992	\$13,272,259
General Revenue Dedicated Funds:					
153 Water Resource Management	2,565,398	3,115,398	2,803,858	3,115,398	2,803,858
SUBTOTAL	\$2,565,398	\$3,115,398	\$2,803,858	\$3,115,398	\$2,803,858
Other Funds:					
666 Appropriated Receipts	438,830	475,000	475,000	475,000	475,000
SUBTOTAL	\$438,830	\$475,000	\$475,000	\$475,000	\$475,000
TOTAL, METHOD OF FINANCING	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **473** Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)

\$13,275,752	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2020-21 GAA)

\$0	\$13,812,153	\$13,830,264	\$12,964,992	\$13,272,259
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RIDER APPROPRIATION

Art VIII, pg. 61, Rider 3 (2018-19 GAA)

\$536,372	\$0	\$0	\$0	\$0
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Art IX, Sec 14.03 (i), Capital Budget UB (2018-19 GAA)

\$44,378	\$0	\$0	\$0	\$0
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LAPSED APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)

\$(224,556)	\$0	\$0	\$0	\$0
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Regular Appropriations

2.B. Summary of Base Request by Method of Finance
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9/18/2020 10:10:58AM

Agency code:	473	Agency name:	Public Utility Commission of Texas			
METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<u>GENERAL REVENUE</u>		\$0	\$(850,000)	\$(532,121)	\$0	\$0
	Comments: 5% Reduction					
TOTAL, General Revenue Fund		\$13,631,946	\$12,962,153	\$13,298,143	\$12,964,992	\$13,272,259
TOTAL, ALL GENERAL REVENUE		\$13,631,946	\$12,962,153	\$13,298,143	\$12,964,992	\$13,272,259

GENERAL REVENUE FUND - DEDICATED

153 GR Dedicated - Water Resource Management Account No. 153

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)

\$2,565,398	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2020-21 GAA)

\$0	\$3,115,398	\$3,115,398	\$3,115,398	\$2,803,858
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LAPSED APPROPRIATIONS

5% Reduction

\$0	\$0	\$(311,540)	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
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9/18/2020 10:10:58AM

Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<u>GENERAL REVENUE FUND - DEDICATED</u>						
TOTAL,	GR Dedicated - Water Resource Management Account No. 153	\$2,565,398	\$3,115,398	\$2,803,858	\$3,115,398	\$2,803,858
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$2,565,398	\$3,115,398	\$2,803,858	\$3,115,398	\$2,803,858
TOTAL,	GR & GR-DEDICATED FUNDS	\$16,197,344	\$16,077,551	\$16,102,001	\$16,080,390	\$16,076,117
<u>OTHER FUNDS</u>						
<u>666</u> Appropriated Receipts						
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2018-19 GAA)	\$475,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$475,000	\$475,000	\$475,000	\$475,000
<i>LAPSED APPROPRIATIONS</i>						
	Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)	\$(36,170)	\$0	\$0	\$0	\$0
TOTAL,	Appropriated Receipts	\$438,830	\$475,000	\$475,000	\$475,000	\$475,000

2.B. Summary of Base Request by Method of Finance
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: 473	Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
TOTAL, ALL OTHER FUNDS	\$438,830	\$475,000	\$475,000	\$475,000	\$475,000
GRAND TOTAL	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table(2018-19 GAA)	215.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	209.0	209.0	202.0	202.0
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA)	(33.9)	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	(33.0)	0.0	0.0	0.0
Regular Appropriations	0.0	0.0	(7.0)	0.0	0.0
Comments: 5% Reduction					
TOTAL, ADJUSTED FTES	181.1	176.0	202.0	202.0	202.0

2.B. Summary of Base Request by Method of Finance
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

9/18/2020 10:10:58AM

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING

Exp 2019

Est 2020

Bud 2021

Req 2022

Req 2023

**NUMBER OF 100% FEDERALLY FUNDED
FTEs**

2.C. Summary of Base Request by Object of Expense

9/18/2020 10:10:58AM

87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

OBJECT OF EXPENSE	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1001 SALARIES AND WAGES	\$13,012,474	\$14,351,263	\$14,355,383	\$14,355,383	\$14,355,383
1002 OTHER PERSONNEL COSTS	\$760,751	\$360,230	\$360,230	\$353,248	\$360,230
2001 PROFESSIONAL FEES AND SERVICES	\$726,549	\$871,135	\$895,650	\$880,354	\$869,766
2003 CONSUMABLE SUPPLIES	\$86,454	\$68,100	\$68,100	\$68,100	\$68,100
2004 UTILITIES	\$24,955	\$13,000	\$13,000	\$13,000	\$13,000
2005 TRAVEL	\$46,243	\$55,661	\$48,161	\$52,661	\$48,161
2006 RENT - BUILDING	\$20,672	\$10,000	\$10,000	\$10,000	\$10,000
2007 RENT - MACHINE AND OTHER	\$144,983	\$278,685	\$282,000	\$263,167	\$282,000
2009 OTHER OPERATING EXPENSE	\$1,758,361	\$544,477	\$544,477	\$559,477	\$544,477
5000 CAPITAL EXPENDITURES	\$54,732	\$0	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117
OOE Total (Riders)					
Grand Total	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117

2.D. Summary of Base Request Objective Outcomes
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

9/18/2020 10:10:59AM

473 Public Utility Commission of Texas

Goal/ Objective / Outcome	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 Maintain Policies to Foster Competition in Telecom & Elec Mkts					
KEY 1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers					
	68.61%	75.00%	75.00%	75.00%	75.00%
2 % Comp Res Customers Served by More Than Five Electric Providers					
	99.69%	99.70%	99.70%	99.70%	99.70%
KEY 3 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg					
	92.40%	85.00%	85.00%	85.00%	85.00%
4 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg					
	71.21%	70.00%	70.00%	70.00%	70.00%
5 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg					
	76.90%	75.00%	75.00%	75.00%	75.00%
KEY 6 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg					
	118.65%	115.00%	115.00%	115.00%	115.00%
KEY 7 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg					
	88.64%	70.00%	70.00%	70.00%	70.00%
2 Regulate Providers Ensuring Companies Meet Service Quality Standards					
KEY 1 Average Annual Residential Telephone Bill as a % of National Average					
	134.42%	110.00%	110.00%	110.00%	110.00%
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg					
	82.40%	80.00%	80.00%	80.00%	80.00%
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg					
	78.15%	70.00%	70.00%	70.00%	70.00%
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg					
	96.60%	96.00%	96.00%	96.00%	96.00%
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards					
	86.00%	86.00%	86.00%	86.00%	86.00%

2.D. Summary of Base Request Objective Outcomes
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

9/18/2020 10:10:59AM

473 Public Utility Commission of Texas

Goal/ Objective / Outcome	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
6 % Electric Customers Served by Electric Utilities Meeting Standards					
	99.96%	100.00%	100.00%	100.00%	100.00%
<i>3 Ensure Compliance with Statutes, Rules, and Orders</i>					
1 % Agreements with Specific Provisions for Avoiding Future Violations					
	52.00%	80.00%	80.00%	80.00%	80.00%
2 Educate Customers and Assist Customers					
<i>2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>					
KEY 1 % Customer Complaints Resolved through Informal Resolution Process					
	99.62%	99.00%	99.00%	99.00%	99.00%
2 Credits & Refunds Obtained for Customers through Complaint Resolution					
	343,308.08	300,000.00	300,000.00	300,000.00	300,000.00

2.E. Summary of Exceptional Items Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME : 10:10:59AM

Agency code: 473

Agency name: **Public Utility Commission of Texas**

Priority	Item	2022			2023			Biennium	
		GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds
1	5 Percent Restoration	\$850,000	\$850,000		\$843,661	\$843,661		\$1,693,661	\$1,693,661
2	Water/Wastewater Program Funding	\$260,000	\$260,000	3.0	\$260,000	\$260,000	3.0	\$520,000	\$520,000
Total, Exceptional Items Request		\$1,110,000	\$1,110,000	3.0	\$1,103,661	\$1,103,661	3.0	\$2,213,661	\$2,213,661

Method of Financing

General Revenue	\$850,000	\$850,000		\$532,121	\$532,121		\$1,382,121	\$1,382,121
General Revenue - Dedicated	260,000	260,000		571,540	571,540		831,540	831,540
Federal Funds								
Other Funds								
	\$1,110,000	\$1,110,000		\$1,103,661	\$1,103,661		\$2,213,661	\$2,213,661

Full Time Equivalent Positions

3.0

3.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/18/2020
 TIME : 10:10:59AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base	Base	Exceptional	Exceptional	Total Request	Total Request
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1 <i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>						
1 MARKET COMPETITION	\$4,183,527	\$4,177,477	\$304,881	\$311,261	\$4,488,408	\$4,488,738
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Stand</i>						
1 UTILITY REGULATION	7,015,178	6,960,646	565,400	608,500	7,580,578	7,569,146
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 INVESTIGATION AND ENFORCEMENT	2,426,891	2,449,551	150,150	127,650	2,577,041	2,577,201
TOTAL, GOAL 1	\$13,625,596	\$13,587,674	\$1,020,431	\$1,047,411	\$14,646,027	\$14,635,085
2 Educate Customers and Assist Customers						
1 <i>Inform Customers of Choices & Rights & Facilitate Information Acce</i>						
1 INFORMATION AND EDUCATION EFFORTS	1,035,471	1,060,039	37,500	13,000	1,072,971	1,073,039
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
1 ASSIST CUSTOMERS	955,091	955,147	0	0	955,091	955,147
TOTAL, GOAL 2	\$1,990,562	\$2,015,186	\$37,500	\$13,000	\$2,028,062	\$2,028,186

2.F. Summary of Total Request by Strategy
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/18/2020
 TIME : 10:10:59AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base	Base	Exceptional	Exceptional	Total Request	Total Request
3 Indirect Administration						
1 Indirect Administration						
1 CENTRAL ADMINISTRATION	\$662,790	\$662,927	\$5,000	\$5,000	\$667,790	\$667,927
2 INFORMATION RESOURCES	235,115	243,991	35,969	27,150	271,084	271,141
3 OTHER SUPPORT SERVICES	41,327	41,339	11,100	11,100	52,427	52,439
TOTAL, GOAL 3	\$939,232	\$948,257	\$52,069	\$43,250	\$991,301	\$991,507
TOTAL, AGENCY STRATEGY REQUEST	\$16,555,390	\$16,551,117	\$1,110,000	\$1,103,661	\$17,665,390	\$17,654,778
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$16,555,390	\$16,551,117	\$1,110,000	\$1,103,661	\$17,665,390	\$17,654,778

2.F. Summary of Total Request by Strategy
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/18/2020
 TIME : 10:10:59AM

Agency code: 473 Agency name: Public Utility Commission of Texas

<i>Goal/Objective/STRATEGY</i>	Base	Base	Exceptional	Exceptional	Total Request	Total Request
General Revenue Funds:						
1 General Revenue Fund	\$12,964,992	\$13,272,259	\$850,000	\$532,121	\$13,814,992	\$13,804,380
	\$12,964,992	\$13,272,259	\$850,000	\$532,121	\$13,814,992	\$13,804,380
General Revenue Dedicated Funds:						
153 Water Resource Management	3,115,398	2,803,858	260,000	571,540	3,375,398	3,375,398
	\$3,115,398	\$2,803,858	\$260,000	\$571,540	\$3,375,398	\$3,375,398
Other Funds:						
666 Appropriated Receipts	475,000	475,000	0	0	475,000	475,000
	\$475,000	\$475,000	\$0	\$0	\$475,000	\$475,000
TOTAL, METHOD OF FINANCING	\$16,555,390	\$16,551,117	\$1,110,000	\$1,103,661	\$17,665,390	\$17,654,778
FULL TIME EQUIVALENT POSITIONS	202.0	202.0	3.0	3.0	205.0	205.0

2.G. Summary of Total Request Objective Outcomes
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 9/18/2020
 Time: 10:10:59AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

		BL 2022	BL 2023	Excp 2022	Excp 2023	Total Request 2022	Total Request 2023
1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1	Maintain Policies to Foster Competition in Telecom & Elec Mkts						
KEY	1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers	75.00%	75.00%			75.00%	75.00%
	2 % Comp Res Customers Served by More Than Five Electric Providers	99.70%	99.70%			99.70%	99.70%
KEY	3 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg	85.00%	85.00%			85.00%	85.00%
	4 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg	70.00%	70.00%			70.00%	70.00%
	5 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg	75.00%	75.00%			75.00%	75.00%
KEY	6 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg	115.00%	115.00%			115.00%	115.00%
KEY	7 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg	70.00%	70.00%			70.00%	70.00%
2	Regulate Providers Ensuring Companies Meet Service Quality Standards						
KEY	1 Average Annual Residential Telephone Bill as a % of National Average	110.00%	110.00%			110.00%	110.00%

2.G. Summary of Total Request Objective Outcomes
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 9/18/2020
 Time: 10:10:59AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2022	BL 2023	Excp 2022	Excp 2023	Total Request 2022	Total Request 2023
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg	80.00%	80.00%			80.00%	80.00%
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg	70.00%	70.00%			70.00%	70.00%
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg	96.00%	96.00%			96.00%	96.00%
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards	86.00%	86.00%			86.00%	86.00%
6 % Electric Customers Served by Electric Utilities Meeting Standards	100.00%	100.00%			100.00%	100.00%
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 % Agreements with Specific Provisions for Avoiding Future Violations	80.00%	80.00%			80.00%	80.00%
2 Educate Customers and Assist Customers						
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
KEY 1 % Customer Complaints Resolved through Informal Resolution Process	99.00%	99.00%			99.00%	99.00%
2 Credits & Refunds Obtained for Customers through Complaint Resolution						
	300,000.00	300,000.00			300,000.00	300,000.00

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts
 STRATEGY: 1 Foster and Monitor Market Competition

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
	1 Number of Retail Electric Providers Registered	118.00	112.00	112.00	112.00	112.00
KEY 2	Number of Cases Completed Related to Competition Among Providers	210.00	350.00	350.00	350.00	350.00
Efficiency Measures:						
KEY 1	Avg # of Days to Process an Application for a Telecom COA & SPCOA	72.00	50.00	55.00	55.00	55.00
Explanatory/Input Measures:						
	1 # of Elect Coops and Municipal Utilities Reg for Wholesale Trans Rate	38.00	38.00	38.00	38.00	38.00
	2 % of Statewide Electric Generating Capacity Above Peak Demand in ERCOT	6.40 %	12.30 %	17.30 %	19.70 %	18.00 %
	3 Percent of Energy Savings Goal Due to Energy Efficiency Programs	169.61 %	150.00 %	150.00 %	150.00 %	150.00 %
	4 Percent of Demand Reduction Goal Due to Energy Efficiency Programs	244.47 %	200.00 %	200.00 %	200.00 %	200.00 %
	5 Number of Power Generation Companies in Texas	426.00	426.00	426.00	426.00	426.00
	6 Number of Aggregators in Texas	159.00	159.00	159.00	159.00	159.00

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
	7 Number of Applications & Amendments for Cable Franchise Certificates	31.00	35.00	35.00	35.00	35.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,379,547	\$3,757,465	\$3,751,085	\$3,751,085	\$3,751,085
1002	OTHER PERSONNEL COSTS	\$196,851	\$88,916	\$88,916	\$88,916	\$88,916
2001	PROFESSIONAL FEES AND SERVICES	\$202,999	\$102,580	\$111,240	\$109,784	\$103,734
2003	CONSUMABLE SUPPLIES	\$30,399	\$16,500	\$16,500	\$16,500	\$16,500
2004	UTILITIES	\$9,692	\$3,770	\$3,770	\$3,770	\$3,770
2005	TRAVEL	\$19,487	\$6,850	\$6,850	\$6,850	\$6,850
2006	RENT - BUILDING	\$7,097	\$2,900	\$2,900	\$2,900	\$2,900
2007	RENT - MACHINE AND OTHER	\$43,317	\$75,400	\$75,400	\$75,400	\$75,400
2009	OTHER OPERATING EXPENSE	\$541,772	\$128,322	\$128,322	\$128,322	\$128,322
5000	CAPITAL EXPENDITURES	\$10,311	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$4,441,472	\$4,182,703	\$4,184,983	\$4,183,527	\$4,177,477
Method of Financing:						
1	General Revenue Fund	\$4,339,892	\$4,044,953	\$4,047,233	\$4,045,777	\$4,039,727
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$4,339,892	\$4,044,953	\$4,047,233	\$4,045,777	\$4,039,727

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Method of Financing:						
666	Appropriated Receipts	\$101,580	\$137,750	\$137,750	\$137,750	\$137,750
SUBTOTAL, MOF (OTHER FUNDS)		\$101,580	\$137,750	\$137,750	\$137,750	\$137,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,183,527	\$4,177,477
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,441,472	\$4,182,703	\$4,184,983	\$4,183,527	\$4,177,477
FULL TIME EQUIVALENT POSITIONS:		47.1	44.1	51.1	51.1	51.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

Public Utility Regulatory Act (PURA) Chapters 39, 52, 54, 60, and 65 require the Public Utility Commission (PUC) to oversee competitive electric and telecom markets and contain provisions establishing ongoing specific responsibilities. Key activities are evaluating the design and operation of competitive wholesale and retail markets, including generation adequacy; identifying and implementing improvements in market design and operations through contested cases and rulemakings; overseeing requirements related to renewable energy and energy efficiency; licensing market participants; ruling on petitions to deregulate telecommunications markets; and resolving disputes among market participants.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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External factors such as changes in state and federal law, environmental regulations, federal incentives, natural gas prices, and overall economic conditions can affect the functioning of competitive markets and therefore the amount of resources which must be dedicated to this strategy. The number and timing of filings made by market participants, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Internal factors affecting this strategy include difficulty recruiting and retaining employees with the necessary training and experience to oversee competitive markets.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$8,367,686	\$8,361,004	\$(6,682)	\$(6,682)	This is a result of a reduction in DCS costs.
			\$(6,682)	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Rate Cases Completed for Regulated Electric Utilities	60.00	60.00	60.00	60.00	60.00
KEY 2	Number of Rate Cases Completed for Telecommunications Providers	0.00	0.00	5.00	5.00	5.00
KEY 3	Number of Water Utility Rate Reviews Performed	83.00	110.00	110.00	110.00	110.00
KEY 4	Number of Water Certificate of Convenience Applications Processed	176.00	200.00	200.00	200.00	200.00
Efficiency Measures:						
KEY 1	Average Number of Days to Process a Major Rate Case for TDU	444.00	220.00	220.00	220.00	220.00
Explanatory/Input Measures:						
1	Number of Electric Utilities Regulated	14.00	14.00	14.00	14.00	14.00
2	Number of Telecommunications Service Providers Regulated	61.00	61.00	61.00	61.00	61.00
3	Number of Water and Sewer Utilities Regulated	620.00	620.00	620.00	620.00	620.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$5,365,074	\$5,920,341	\$5,877,741	\$5,877,741	\$5,877,741
1002	OTHER PERSONNEL COSTS	\$330,664	\$118,389	\$118,389	\$118,389	\$118,389

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2001	PROFESSIONAL FEES AND SERVICES	\$252,882	\$608,929	\$605,539	\$609,724	\$598,292
2003	CONSUMABLE SUPPLIES	\$34,819	\$25,875	\$25,875	\$25,875	\$25,875
2004	UTILITIES	\$8,348	\$3,640	\$3,640	\$3,640	\$3,640
2005	TRAVEL	\$15,433	\$15,461	\$14,961	\$15,461	\$14,961
2006	RENT - BUILDING	\$5,888	\$2,800	\$2,800	\$2,800	\$2,800
2007	RENT - MACHINE AND OTHER	\$41,217	\$91,600	\$91,600	\$91,600	\$91,600
2009	OTHER OPERATING EXPENSE	\$616,480	\$227,348	\$227,348	\$269,948	\$227,348
5000	CAPITAL EXPENDITURES	\$44,421	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$6,715,226	\$7,014,383	\$6,967,893	\$7,015,178	\$6,960,646
Method of Financing:						
1	General Revenue Fund	\$4,016,828	\$3,989,985	\$4,212,535	\$3,990,780	\$4,205,288
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$4,016,828	\$3,989,985	\$4,212,535	\$3,990,780	\$4,205,288
Method of Financing:						
153	Water Resource Management	\$2,565,398	\$2,891,398	\$2,622,358	\$2,891,398	\$2,622,358
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,565,398	\$2,891,398	\$2,622,358	\$2,891,398	\$2,622,358
Method of Financing:						

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
666	Appropriated Receipts	\$133,000	\$133,000	\$133,000	\$133,000	\$133,000
SUBTOTAL, MOF (OTHER FUNDS)		\$133,000	\$133,000	\$133,000	\$133,000	\$133,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$7,015,178	\$6,960,646
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,715,226	\$7,014,383	\$6,967,893	\$7,015,178	\$6,960,646
FULL TIME EQUIVALENT POSITIONS:		74.8	72.2	83.2	83.2	83.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The PUC has responsibility for ensuring that customers receive high quality service at just and reasonable rates. The PUC regulates rates and services in both the telecommunications and electric industries. PURA Chapter 36 provides for electric rate regulation. PURA Chapter 38 provides for regulation of service quality. Within the Electric Reliability Council of Texas (ERCOT), the PUC regulates the rates and service quality of transmission and distribution utilities, and the rates of wholesale transmission providers. Areas outside ERCOT are not open to retail competition and the PUC continues to be responsible for rate and service quality regulation for integrated utilities. The PUC is also responsible for licensing transmission facilities throughout the state under PURA Chapter 37. PURA Chapter 53 provides for rate regulation of local exchange providers. Regulated telecom providers may elect incentive regulation under PURA Chapters 58 and 59, which limits PUC jurisdiction over rates and services. PURA Chapter 65 provides for deregulation of telecom exchanges based on adequate development of competitive markets, which has resulted in a decrease in PUC regulation of the telecommunications industry. Functions related to emergency response and Homeland Security are also funded by this strategy. Texas Water Code, as amended by HB 1600, 83rd Legislature, Regular Session, requires the PUC to provide regulatory oversight of water and sewer utilities to ensure that charges to customers are necessary and cost-based; and to promote and ensure adequate customer service.

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The number and timing of filings made by companies seeking necessary regulatory approvals, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Natural disasters and other emergencies can affect the amount of resources dedicated to this strategy. Factors affecting water regulation include the price of wholesale water and population growth putting a strain on water infrastructure.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$13,982,276	\$13,975,824	\$(6,452)	\$(6,452)	This is a result of a reduction in DCS costs.
			<u>\$(6,452)</u>	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Enforcement Investigations Conducted	164.00	280.00	280.00	280.00	280.00
Explanatory/Input Measures:						
1	Dollar Amount Administrative Penalties Assessed for Violations	2,959,906.00	4,000,000.00	4,000,000.00	4,000,000.00	4,000,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,866,394	\$2,181,016	\$2,207,516	\$2,207,516	\$2,207,516
1002	OTHER PERSONNEL COSTS	\$95,018	\$57,739	\$57,739	\$57,739	\$57,739
2001	PROFESSIONAL FEES AND SERVICES	\$103,889	\$51,556	\$55,737	\$51,953	\$52,113
2003	CONSUMABLE SUPPLIES	\$9,267	\$9,400	\$9,400	\$9,400	\$9,400
2004	UTILITIES	\$2,606	\$1,820	\$1,820	\$1,820	\$1,820
2005	TRAVEL	\$2,518	\$12,300	\$8,300	\$12,300	\$8,300
2006	RENT - BUILDING	\$3,367	\$1,400	\$1,400	\$1,400	\$1,400
2007	RENT - MACHINE AND OTHER	\$21,498	\$43,200	\$43,200	\$33,200	\$43,200
2009	OTHER OPERATING EXPENSE	\$267,342	\$68,063	\$68,063	\$51,563	\$68,063
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,371,899	\$2,426,494	\$2,453,175	\$2,426,891	\$2,449,551

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Method of Financing:						
1	General Revenue Fund	\$2,305,399	\$2,135,994	\$2,205,175	\$2,136,391	\$2,201,551
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,305,399	\$2,135,994	\$2,205,175	\$2,136,391	\$2,201,551
Method of Financing:						
153	Water Resource Management	\$0	\$224,000	\$181,500	\$224,000	\$181,500
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$224,000	\$181,500	\$224,000	\$181,500
Method of Financing:						
666	Appropriated Receipts	\$66,500	\$66,500	\$66,500	\$66,500	\$66,500
SUBTOTAL, MOF (OTHER FUNDS)		\$66,500	\$66,500	\$66,500	\$66,500	\$66,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,426,891	\$2,449,551
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,371,899	\$2,426,494	\$2,453,175	\$2,426,891	\$2,449,551
FULL TIME EQUIVALENT POSITIONS:		26.0	25.1	29.1	29.1	29.1

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §15.023 provides that the PUC may impose administrative penalties for violations of PURA or a PUC rule or order. Ensuring compliance is essential to proper functioning of competitive markets so that customers receive the benefits of competition. Ensuring that regulated utilities comply with service quality standards and tariffs results in customers receiving high quality service at reasonable rates. Functions under this strategy include investigating possible instances of noncompliance, issuing notices of violations, participating in contested case hearings, and assessment of penalties when violations are found.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the resources dedicated to this strategy because the agency must initiate and prosecute cases based on alleged violations. However, the degree to which service providers comply with laws, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$4,879,669	\$4,876,442	\$(3,227)	\$(3,227)	This is a result of a reduction in DCS costs.
			<u>\$(3,227)</u>	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:
 STRATEGY: 1 Provide Information and Educational Outreach to Customers Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Information Requests to Which Responses Were Provided	40,346.00	45,000.00	45,000.00	45,000.00	45,000.00
2	Number of Customer Information Products Distributed	1,039,489.00	1,125,000.00	1,125,000.00	1,125,000.00	1,125,000.00
Efficiency Measures:						
KEY 1	% Customer Information Product Distributed Electronically	96.84 %	97.00 %	97.00 %	97.00 %	97.00 %
Explanatory/Input Measures:						
1	Number of Website Hits to Customer Protection Home Page	182,486.00	190,000.00	190,000.00	190,000.00	190,000.00
KEY 2	# of Power - to - Choose Website Hits	1,000,810.00	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$892,761	\$957,764	\$977,764	\$977,764	\$977,764
1002	OTHER PERSONNEL COSTS	\$35,272	\$18,809	\$18,809	\$11,827	\$18,809
2001	PROFESSIONAL FEES AND SERVICES	\$46,283	\$18,602	\$25,412	\$18,772	\$23,858
2003	CONSUMABLE SUPPLIES	\$4,323	\$3,325	\$3,325	\$3,325	\$3,325
2004	UTILITIES	\$1,235	\$650	\$650	\$650	\$650
2005	TRAVEL	\$3,380	\$4,250	\$1,250	\$1,250	\$1,250
2006	RENT - BUILDING	\$1,584	\$500	\$500	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$9,509	\$12,118	\$14,600	\$9,600	\$14,600

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers

OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access

Service Categories:

STRATEGY: 1 Provide Information and Educational Outreach to Customers

Service: 17

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2009	OTHER OPERATING EXPENSE	\$124,877	\$19,283	\$19,283	\$11,783	\$19,283
TOTAL, OBJECT OF EXPENSE		\$1,119,224	\$1,035,301	\$1,061,593	\$1,035,471	\$1,060,039
Method of Financing:						
1	General Revenue Fund	\$1,095,474	\$1,011,551	\$1,037,843	\$1,011,721	\$1,036,289
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,095,474	\$1,011,551	\$1,037,843	\$1,011,721	\$1,036,289
Method of Financing:						
666	Appropriated Receipts	\$23,750	\$23,750	\$23,750	\$23,750	\$23,750
SUBTOTAL, MOF (OTHER FUNDS)		\$23,750	\$23,750	\$23,750	\$23,750	\$23,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,035,471	\$1,060,039
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,119,224	\$1,035,301	\$1,061,593	\$1,035,471	\$1,060,039
FULL TIME EQUIVALENT POSITIONS:		12.4	13.3	15.3	15.3	15.3
STRATEGY DESCRIPTION AND JUSTIFICATION:						

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:
 STRATEGY: 1 Provide Information and Educational Outreach to Customers Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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PURA §17.003 requires the PUC to promote awareness of changes in electric and telecom markets, provide customers with information necessary to make informed choices, and ensure that customers have an adequate understanding of their rights. These education efforts must be conducted in English, Spanish, and other languages as necessary. Providing information assists utility customers who purchase services in competitive markets in selecting providers and choosing services and rate plans that are appropriate for the customers' needs. Customers who are served by companies that remain regulated need information to assist them in understanding their bills, and understanding issues that relate to service quality, such as tree trimming. The PUC provides information using a toll-free customer hot line; postings on the PUC's Web page; printed materials; walk-in, Internet and on-site electronic access to all documents filed in the PUC's Central Records; and responses to written inquiries, including requests under the Public Information Act. PURA § 39.902 requires the PUC to conduct ongoing customer education designed to help customers make informed choices of electric services and retail electric providers.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the level of effort devoted to providing information to customers. The PUC's call center function is included in this strategy, and the agency has no ability to control the number of persons who call the PUC seeking information or assistance. Similarly, the PUC cannot control the number or scope of Public Information Act requests which are received.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,096,894	\$2,095,510	\$(1,384)	\$(1,384)	This is a result of a reduction in DCS costs.
			\$(1,384)	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders
 STRATEGY: 1 Assist Customers in Resolving Disputes

Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Customer Complaints Concluded	5,982.00	7,500.00	7,500.00	7,500.00	7,500.00
Efficiency Measures:						
KEY 1	Average Number of Days to Conclude Customer Complaints	17.00	15.00	15.00	15.00	15.00
Explanatory/Input Measures:						
1	Number Complaints Received for Unauthorized Changes in Service	679.00	680.00	680.00	680.00	680.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$804,084	\$843,204	\$843,204	\$843,204	\$843,204
1002	OTHER PERSONNEL COSTS	\$27,599	\$28,597	\$28,597	\$28,597	\$28,597
2001	PROFESSIONAL FEES AND SERVICES	\$40,131	\$24,260	\$25,753	\$24,403	\$24,459
2003	CONSUMABLE SUPPLIES	\$3,849	\$4,000	\$4,000	\$4,000	\$4,000
2004	UTILITIES	\$1,090	\$780	\$780	\$780	\$780
2005	TRAVEL	\$0	\$5,100	\$5,100	\$5,100	\$5,100
2006	RENT - BUILDING	\$1,411	\$600	\$600	\$600	\$600
2007	RENT - MACHINE AND OTHER	\$8,114	\$17,600	\$17,600	\$17,600	\$17,600
2009	OTHER OPERATING EXPENSE	\$110,272	\$30,807	\$30,807	\$30,807	\$30,807

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders
 STRATEGY: 1 Assist Customers in Resolving Disputes

Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
TOTAL, OBJECT OF EXPENSE		\$996,550	\$954,948	\$956,441	\$955,091	\$955,147
Method of Financing:						
1	General Revenue Fund	\$968,050	\$926,448	\$927,941	\$926,591	\$926,647
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$968,050	\$926,448	\$927,941	\$926,591	\$926,647
Method of Financing:						
666	Appropriated Receipts	\$28,500	\$28,500	\$28,500	\$28,500	\$28,500
SUBTOTAL, MOF (OTHER FUNDS)		\$28,500	\$28,500	\$28,500	\$28,500	\$28,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$955,091	\$955,147
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$996,550	\$954,948	\$956,441	\$955,091	\$955,147
FULL TIME EQUIVALENT POSITIONS:		11.2	11.2	13.2	13.2	13.2
STRATEGY DESCRIPTION AND JUSTIFICATION:						

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders Service Categories:
 STRATEGY: 1 Assist Customers in Resolving Disputes Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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PURA §15.051 provides that an affected person may complain to the PUC about a public utility alleged to be in violation of a statute, rule or order, and the PUC is required to maintain certain records concerning complaints that are received. PURA §17.157 authorizes the PUC to resolve disputes between customers and service providers. PURA § 17.102 and 39.101 require the PUC to adopt and enforce rules providing specific customer protections. The PUC assists customers in resolving disputes with their electric and telecommunications service providers by investigating complaints about alleged wrong-doings, making informal determinations about whether certain activities appear to comply with applicable statutes and rules, and recommending corrective actions where appropriate. Customers may file complaints by letter, fax, telephone, appearing in person, or Internet submission. Records are maintained in a database which is capable of producing a variety of reports summarizing data about complaints received.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has no ability to control the number of persons who seek help with informal resolution of disputes by filing complaints about service providers. Factors such as extreme weather and natural gas prices can affect utility costs for consumers and result in more complaints about billing and service.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,911,389	\$1,910,238	\$(1,151)	\$(1,151)	This is a result of a reduction in DCS costs.
			\$(1,151)	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
1001	SALARIES AND WAGES	\$462,685	\$487,930	\$487,930	\$487,930	\$487,930
1002	OTHER PERSONNEL COSTS	\$54,482	\$33,520	\$33,520	\$33,520	\$33,520
2001	PROFESSIONAL FEES AND SERVICES	\$53,410	\$45,821	\$49,404	\$46,161	\$46,298
2003	CONSUMABLE SUPPLIES	\$2,445	\$6,000	\$6,000	\$6,000	\$6,000
2004	UTILITIES	\$1,155	\$1,560	\$1,560	\$1,560	\$1,560
2005	TRAVEL	\$256	\$7,800	\$7,800	\$7,800	\$7,800
2006	RENT - BUILDING	\$874	\$1,200	\$1,200	\$1,200	\$1,200
2007	RENT - MACHINE AND OTHER	\$14,199	\$26,400	\$26,400	\$26,400	\$26,400
2009	OTHER OPERATING EXPENSE	\$65,201	\$52,219	\$52,219	\$52,219	\$52,219
TOTAL, OBJECT OF EXPENSE		\$654,707	\$662,450	\$666,033	\$662,790	\$662,927
Method of Financing:						
1	General Revenue Fund	\$597,707	\$605,450	\$609,033	\$605,790	\$605,927
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$597,707	\$605,450	\$609,033	\$605,790	\$605,927
Method of Financing:						
666	Appropriated Receipts	\$57,000	\$57,000	\$57,000	\$57,000	\$57,000

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
SUBTOTAL, MOF (OTHER FUNDS)		\$57,000	\$57,000	\$57,000	\$57,000	\$57,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$662,790	\$662,927
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$654,707	\$662,450	\$666,033	\$662,790	\$662,927
FULL TIME EQUIVALENT POSITIONS:		6.4	6.7	6.7	6.7	6.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §12.103 provides that the Executive Director is responsible for the daily operations of the PUC and coordinating activities of PUC employees. This strategy includes Fiscal Services, General Law, Human Resources, Governmental Relations, and Communications. Strategy activities include strategic planning; budget administration; ensuring compliance with state travel regulations; human resources management; ensuring agency compliance with state and federal laws affecting personnel, and state procurement laws and regulations; responding to requests from the Legislature and state and federal agencies; and preparation of contracts with third party vendors.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Changes in state and federal laws affecting human resources and procurement can affect activities under this strategy. The PUC seeks to improve efficiencies through automation of administrative functions.

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2020 + Bud 2021)</u>	<u>Baseline Request (BL 2022 + BL 2023)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$1,328,483	\$1,325,717	\$(2,766)	\$(2,766)	This is a result of a reduction in DCS costs.
			<u>\$(2,766)</u>	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
1001	SALARIES AND WAGES	\$200,108	\$177,332	\$183,932	\$183,932	\$183,932
1002	OTHER PERSONNEL COSTS	\$19,434	\$11,050	\$11,050	\$11,050	\$11,050
2001	PROFESSIONAL FEES AND SERVICES	\$22,497	\$15,501	\$18,380	\$15,643	\$17,086
2003	CONSUMABLE SUPPLIES	\$1,156	\$2,500	\$2,500	\$2,500	\$2,500
2004	UTILITIES	\$772	\$650	\$650	\$650	\$650
2005	TRAVEL	\$5,169	\$3,250	\$3,250	\$3,250	\$3,250
2006	RENT - BUILDING	\$378	\$500	\$500	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$5,948	\$10,167	\$11,000	\$7,167	\$11,000
2009	OTHER OPERATING EXPENSE	\$26,826	\$14,023	\$14,023	\$10,423	\$14,023
TOTAL, OBJECT OF EXPENSE		\$282,288	\$234,973	\$245,285	\$235,115	\$243,991
Method of Financing:						
1	General Revenue Fund	\$258,538	\$211,223	\$221,535	\$211,365	\$220,241
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$258,538	\$211,223	\$221,535	\$211,365	\$220,241
Method of Financing:						
666	Appropriated Receipts	\$23,750	\$23,750	\$23,750	\$23,750	\$23,750

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
SUBTOTAL, MOF (OTHER FUNDS)		\$23,750	\$23,750	\$23,750	\$23,750	\$23,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$235,115	\$243,991
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$282,288	\$234,973	\$245,285	\$235,115	\$243,991
FULL TIME EQUIVALENT POSITIONS:		2.7	2.8	2.8	2.8	2.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Information Resources strategy provides resources for IT technology support for all areas of the Commission. The PUC Fiscal and Information Services Division maintains the PUC network and desktop operating environments providing internal and external users with a stable, scalable, and responsive computing environment. The strategy includes PUC technology planning including but not limited to development of the Information Resource Strategic Plan and the Biennial Operating Plan.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Data Center Services Project affects the PUC's implementation of this strategy. Advances in information technology affect the PUC's use of computers and electronic information resources.

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$480,258	\$479,106	\$(1,152)	\$(1,152)	This is a result of a reduction in DCS costs.
			\$(1,152)	Total of Explanation of Biennial Change

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
1001	SALARIES AND WAGES	\$41,821	\$26,211	\$26,211	\$26,211	\$26,211
1002	OTHER PERSONNEL COSTS	\$1,431	\$3,210	\$3,210	\$3,210	\$3,210
2001	PROFESSIONAL FEES AND SERVICES	\$4,458	\$3,886	\$4,185	\$3,914	\$3,926
2003	CONSUMABLE SUPPLIES	\$196	\$500	\$500	\$500	\$500
2004	UTILITIES	\$57	\$130	\$130	\$130	\$130
2005	TRAVEL	\$0	\$650	\$650	\$650	\$650
2006	RENT - BUILDING	\$73	\$100	\$100	\$100	\$100
2007	RENT - MACHINE AND OTHER	\$1,181	\$2,200	\$2,200	\$2,200	\$2,200
2009	OTHER OPERATING EXPENSE	\$5,591	\$4,412	\$4,412	\$4,412	\$4,412
TOTAL, OBJECT OF EXPENSE		\$54,808	\$41,299	\$41,598	\$41,327	\$41,339
Method of Financing:						
1	General Revenue Fund	\$50,058	\$36,549	\$36,848	\$36,577	\$36,589
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$50,058	\$36,549	\$36,848	\$36,577	\$36,589
Method of Financing:						
666	Appropriated Receipts	\$4,750	\$4,750	\$4,750	\$4,750	\$4,750

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
SUBTOTAL, MOF (OTHER FUNDS)		\$4,750	\$4,750	\$4,750	\$4,750	\$4,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$41,327	\$41,339
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$54,808	\$41,299	\$41,598	\$41,327	\$41,339
FULL TIME EQUIVALENT POSITIONS:		0.5	0.6	0.6	0.6	0.6

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Other Support Services strategy includes library, mail room, purchasing, and facilities management activities. The PUC maintains a specialized legal and reference library, providing expert reference information to agency staff. The Mail Room provides reproduction and copying services, mail delivery and pick up, and receiving and distribution of items purchased. Staff responsible for agency purchasing and facilities management activities is also budgeted from this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC seeks efficiencies in procurement through automation and coordination with other agencies in areas such as HUB procurement.

473 Public Utility Commission of Texas

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$82,897	\$82,666	\$(231)	\$(231)	This is a result of a reduction in DCS costs.
			<u>\$(231)</u>	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117
METHODS OF FINANCE (INCLUDING RIDERS):				\$16,555,390	\$16,551,117
METHODS OF FINANCE (EXCLUDING RIDERS):	\$16,636,174	\$16,552,551	\$16,577,001	\$16,555,390	\$16,551,117
FULL TIME EQUIVALENT POSITIONS:	181.1	176.0	202.0	202.0	202.0

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
87th Regular Session, Agency Submission, Version 1

Agency Code: 473		Agency: Public Utility Commission of Texas			Prepared By: Thomas Gleeson					
Date:										
Strategy	Strategy Name	Program Priority	Program Name	Legal Authority	2020-21 Base	Requested 2022	Requested 2023	Biennial Total 2022-23	Biennial Difference	
									\$	%
A.1.1	Market Competition	1	Electric Market Oversight	Utilities Code, Ch. 39	\$7,643,766	\$4,126,448	\$4,126,778	\$8,253,226	\$609,460	8.0%
A.2.1	Utility Regulation	2	Electric Regulation	Utilities Code, Ch. 35, 36, and 37	\$7,675,755	\$4,032,798	\$4,021,365	\$8,054,163	\$378,408	4.9%
A.2.1	Utility Regulation	3	Water and Wastewater Regulation	Water Code Ch. 5, and 11-13	\$5,513,756	\$3,151,398	\$3,151,398	\$6,302,796	\$789,040	14.3%
A.3.1	Market Competition	4	Investigation and Enforcement	Utilities Code Ch.15, Subch B.	\$4,879,669	\$2,577,041	\$2,577,201	\$5,154,242	\$274,573	5.6%
B.2.1	Assist Customers	5	Customer Dispute Resolution	Utilities Cod, Sec. 15.051, 17.102, 17.157, and Sec. 39.101	\$1,911,389	\$955,091	\$955,147	\$1,910,238	(\$1,151)	-0.1%
A.2.1	Utility Regulation	6	Telecommunications Regulation	Utilities Code, Ch. 53-56, and Ch. 65-66	\$792,765	\$396,382	\$396,383	\$792,765	\$0	0.0%
A.1.1	Market Competition	7	Telecommunications Market Oversight	Utilities Code, Ch. 52, 54, 56, 58, 59, and 65	\$723,920	\$361,960	\$361,960	\$723,920	\$0	0.0%
B.1.1	Information and Education	8	Electric and Telecommunications Industry Awareness	Utilities Code Ch. 17.003	\$2,096,894	\$1,072,971	\$1,073,039	\$2,146,010	\$49,116	2.3%
C.1.1	Central Administration	9	Agency Administration	Utilities Code, Ch. 12, Subch. C	\$1,891,638	\$991,301	\$991,507	\$1,982,808	\$91,170	4.8%
C.1.2	Information Resources									
C.1.3	Other Support Services									

Program Prioritization: Indicate the methodology or approach taken by the agency, court, or institution to determine the ranking of each program by priority.

The Public Utility Commission of Texas ranked programs based on a combination of the program's relevance to the agency's core mission, program staffing levels, and agency projects and dockets related to each program.

3.B. Rider Revisions and Additions Request

Agency Code: 473	Agency Name: Public Utility Commission of Texas	Prepared By: Thomas Gleeson	Date: September 18, 2020	Request Level: Base
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Current Rider Number	Page Number in 2020-2021 GAA	Proposed Rider Language																																																							
1	VIII-58	<p>Performance Measure Targets. The following is a listing of the key performance target levels for the Public Utility Commission of Texas. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Public Utility Commission of Texas. In order to achieve the objectives and service standards established by this Act, the Public Utility Commission of Texas shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;"></th> <th style="width: 5%; text-align: center;"><u>2020</u></th> <th style="width: 5%; text-align: center;"><u>2022</u></th> <th style="width: 5%; text-align: center;"><u>2024</u></th> <th style="width: 5%; text-align: center;"><u>2023</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">A. Goal: COMPETITION/CHOICE/RATES/SERVICE</td> </tr> <tr> <td colspan="5">Outcome (Results/Impact):</td> </tr> <tr> <td>Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers</td> <td style="text-align: center;">75%</td> <td></td> <td style="text-align: center;">75%</td> <td></td> </tr> <tr> <td>Avg Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average</td> <td style="text-align: center;">85%</td> <td></td> <td style="text-align: center;">85%</td> <td></td> </tr> <tr> <td>Avg Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average</td> <td style="text-align: center;">115%</td> <td></td> <td style="text-align: center;">115%</td> <td></td> </tr> <tr> <td>Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg</td> <td style="text-align: center;">70%</td> <td></td> <td style="text-align: center;">70%</td> <td></td> </tr> <tr> <td>Avg Annual Residential Telephone Bill in Texas as a Percentage of the National Average</td> <td style="text-align: center;">110%</td> <td></td> <td style="text-align: center;">110%</td> <td></td> </tr> <tr> <td colspan="5">A.1.1. Strategy: MARKET COMPETITION</td> </tr> <tr> <td colspan="5">Output (Volume):</td> </tr> <tr> <td>Number of Cases Completed Related to Competition Among Providers</td> <td style="text-align: center;">350</td> <td style="text-align: center;">300</td> <td style="text-align: center;">350</td> <td style="text-align: center;">300</td> </tr> </tbody> </table>		<u>2020</u>	<u>2022</u>	<u>2024</u>	<u>2023</u>	A. Goal: COMPETITION/CHOICE/RATES/SERVICE					Outcome (Results/Impact):					Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers	75%		75%		Avg Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average	85%		85%		Avg Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average	115%		115%		Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg	70%		70%		Avg Annual Residential Telephone Bill in Texas as a Percentage of the National Average	110%		110%		A.1.1. Strategy: MARKET COMPETITION					Output (Volume):					Number of Cases Completed Related to Competition Among Providers	350	300	350	300
	<u>2020</u>	<u>2022</u>	<u>2024</u>	<u>2023</u>																																																					
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3.B. Rider Revisions and Additions Request (continued)

		<p>Efficiencies: Average Number of Days to Process an Application for a Certificate of Authority and Service Provider Certificate of Authority</p>	50	<u>55</u>	50	<u>55</u>
		<p>A.2.1. Strategy: UTILITY REGULATION Number of Rate Cases Completed for Regulated Electric Utilities</p>	65	<u>60</u>	65	<u>60</u>
		Number of Rate Cases Completed for Regulated Telecommunications Providers	40	<u>5</u>	40	<u>5</u>
		Number of Water Utility Rate Reviews Performed	140	<u>110</u>	140	<u>110</u>
		Number of Water CCN Applications Processed	235	<u>200</u>	235	<u>200</u>
		<p>Efficiencies: Average Number of Days to Process a Major Rate Case for a Transmission and Distribution Utility</p>	200	220	200	220
		<p>A.3.1. Strategy: INVESTIGATION & ENFORCEMENT Output (Volume): Number of Enforcement Investigations Conducted</p>	406	<u>280</u>	406	<u>280</u>
		<p>B. Goal: EDUCATION AND CUSTOMER ASSISTANCE Outcome (Result/Impact): Percentage of Customer Complaints Resolved through Informal Complaint Resolution Process</p>	99%		99%	
		<p>B.1.1. Strategy: PROVIDE FACTS ABOUT CHANGES Output (Volume): Number of information Requests to Which Responses Were Provided</p>	70,000	<u>45,000</u>	70,000	<u>45,000</u>
		<p>Efficiencies: Percent of Customer Information Products Distributed Electronically</p>	95%	<u>97%</u>	95%	<u>97%</u>
		<p>Explanatory: Number of Power-to-Choose Website Hits</p>	1,000,000		1,000,000	
		<p>B.2.1. Strategy: ASSIST CUSTOMERS Output (Volume): Number of Customer Complaints Concluded</p>	7,500		7,500	
		<p>Efficiencies: Average Number of Days to Conclude Customer Complaints</p>	15		15	

**3.B. Rider Revisions and Additions Request
(continued)**

2	VIII-59	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for “Lease Payments to the Master Lease Purchase Program” or for items with a “(MLPP)” notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103. Upon approval from the Legislative Budget Board, capital budget funds listed below under “Acquisition of Information Resource Technologies” may be used to lease information resources hardware and/or software versus the purchase of information resources hardware and/or software, if determined by commission management to be in the best interest of the State of Texas.</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; width: 10%;"><u>2020</u></th> <th style="text-align: right; width: 10%;"><u>2022</u></th> <th style="text-align: right; width: 10%;"><u>2021</u></th> <th style="text-align: right; width: 10%;"><u>2023</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">(1) PC Replacement</td> <td style="text-align: right;">98,000</td> <td></td> <td style="text-align: right;">98,000</td> <td></td> </tr> <tr> <td>b. Data Center Consolidation</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">(1) Data Center Consolidation</td> <td style="text-align: right;">\$<u>310,027</u></td> <td style="text-align: right;">\$<u>312,866</u></td> <td style="text-align: right;">\$<u>328,138</u></td> <td style="text-align: right;">\$<u>302,254</u></td> </tr> <tr> <td style="padding-left: 40px;">Total, Capital Budget</td> <td style="text-align: right;">\$<u>408,027</u></td> <td style="text-align: right;">\$<u>410,866</u></td> <td style="text-align: right;">\$<u>426,138</u></td> <td style="text-align: right;">\$<u>400,254</u></td> </tr> <tr> <td colspan="5">Method of Financing (Capital Budget):</td> </tr> <tr> <td style="padding-left: 20px;">General Revenue Fund</td> <td style="text-align: right;">\$<u>408,027</u></td> <td style="text-align: right;">\$<u>410,866</u></td> <td style="text-align: right;">\$<u>426,138</u></td> <td style="text-align: right;">\$<u>400,254</u></td> </tr> <tr> <td style="padding-left: 40px;">Total, Method of Finance</td> <td style="text-align: right;">\$<u>408,027</u></td> <td style="text-align: right;">\$<u>410,866</u></td> <td style="text-align: right;">\$<u>426,138</u></td> <td style="text-align: right;">\$<u>400,254</u></td> </tr> </tbody> </table> <p><i>The PUC’s 2022-2023 baseline request for PC replacement reflects projected expenditures that are consistent with 2020-21 expenditures. The agency’s 2022-23 baseline request for DCS reflects that most recent cost projection from DIR. The PUC’s total capital budget reflects a decrease of \$23,045, which the PUC has not reallocated to other areas of the budget. The PUC has reduced its baseline request by \$23,045 appropriation amount.</i></p>		<u>2020</u>	<u>2022</u>	<u>2021</u>	<u>2023</u>	a. Acquisition of Information Resource Technologies					(1) PC Replacement	98,000		98,000		b. Data Center Consolidation					(1) Data Center Consolidation	\$ <u>310,027</u>	\$ <u>312,866</u>	\$ <u>328,138</u>	\$ <u>302,254</u>	Total, Capital Budget	\$ <u>408,027</u>	\$ <u>410,866</u>	\$ <u>426,138</u>	\$ <u>400,254</u>	Method of Financing (Capital Budget):					General Revenue Fund	\$ <u>408,027</u>	\$ <u>410,866</u>	\$ <u>426,138</u>	\$ <u>400,254</u>	Total, Method of Finance	\$ <u>408,027</u>	\$ <u>410,866</u>	\$ <u>426,138</u>	\$ <u>400,254</u>
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3	VIII-59	<p>Unexpended Balance Authority. The unobligated and unexpended balances of appropriations to the Public Utility Commission for the fiscal year ending August 31, 2020 <u>2022</u> are hereby appropriated to the commission for the same purposes for the fiscal year beginning September 1, 2020 <u>2022</u>.</p> <p><i>The PUC requests a non-substantive change to this rider to conform the dates with the 2022-2023 biennium.</i></p>																																													

4.A. Exceptional Item Request Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:02AM

Agency code: 473

Agency name: Public Utility Commission of Texas

CODE	DESCRIPTION	Excp 2022	Excp 2023
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Item Name:	The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.		
Item Priority:	1		
IT Component:	No		
Anticipated Out-year Costs:	No		
Involve Contracts > \$50,000:	No		
Includes Funding for the Following Strategy or Strategies:	01-01-01	Foster and Monitor Market Competition	
	01-02-01	Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities	
	01-03-01	Conduct Investigations and Initiate Enforcement Actions	
	02-01-01	Provide Information and Educational Outreach to Customers	
	03-01-01	Central Administration	
	03-01-02	Information Resources	
	03-01-03	Other Support Services	

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	767,781	763,661
2001	PROFESSIONAL FEES AND SERVICES	2,219	0
2005	TRAVEL	22,500	30,000
2009	OTHER OPERATING EXPENSE	57,500	50,000
TOTAL, OBJECT OF EXPENSE		\$850,000	\$843,661

METHOD OF FINANCING:

1	General Revenue Fund	850,000	532,121
153	Water Resource Management	0	311,540
TOTAL, METHOD OF FINANCING		\$850,000	\$843,661

DESCRIPTION / JUSTIFICATION:

The PUC is requesting restoration of its 5 percent appropriation reduction required by Governor Abbott’s letter dated August 18, 2020. In an effort to meet this required appropriation reduction, the agency reduced its total FTEs by 7.0, leaving the PUC with a cap of 202 full-time employees. With the PUC having capacity under its current FTE cap, we believe the agency would be able to function at 100% capacity and be able to fund existing vacant positions if the 5 percent funding reduction is fully restored. Restoration of the funding will allow the PUC to hire FTEs and for expenditures on staff development and trainings which will assist the agency in fulfilling its critical mission .

EXTERNAL/INTERNAL FACTORS:

Restoration of the 5 percent reduction would allow the PUC to operate at 100% capacity.

4.A. Exceptional Item Request Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:02AM

Agency code: 473

Agency name:
Public Utility Commission of Texas

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2022</u>	<u>Excp 2023</u>
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PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:02AM

Agency code: 473 Agency name: Public Utility Commission of Texas

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Rulemaking and Writing Section Item Priority: 2 IT Component: No Anticipated Out-year Costs: No Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 01-02-01 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities		

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	260,000	260,000
TOTAL, OBJECT OF EXPENSE		\$260,000	\$260,000

METHOD OF FINANCING:

153	Water Resource Management	260,000	260,000
TOTAL, METHOD OF FINANCING		\$260,000	\$260,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

3.00	3.00
------	------

DESCRIPTION / JUSTIFICATION:

HB 1600 passed by the Texas Legislature in 2013 transferred regulatory authority for water and wastewater rates and CCNs of approximately 600 utilities from TCEQ to the PUC. Those entities pay a regulatory assessment fee that is deposited into the Water Resource Management Account No. 153, which is administered by TCEQ. This exceptional item is intended to fund 2 attorney positions and 1 paralegal. The Rulemaking and Writing Section will begin working on identifying and drafting potential amendments to the Texas Water Code and subsequently amending the PUC's Water Rules.

EXTERNAL/INTERNAL FACTORS:

The increased funding is based on numbers provided by TCEQ, the revenue generated from fees collected from entities regulated by the PUC totaled \$5,009,016 for fiscal year 2019. This amount represents a 10.4% increase over the amount of revenue generated in fiscal year 2017. The 2019 amount represents approximately \$429,338 more in revenue than the current appropriations and indirect costs for the PUC and the Office of Public Utility Counsel (OPUC), prior to each agency's five percent reduction.

PCLS TRACKING KEY:

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.			
Allocation to Strategy: 1-1-1 Foster and Monitor Market Competition			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>1</u>	% Tx Cities Srvd by 3 or More Certificated Telecommunication Providers	75.00%	75.00%
<u>2</u>	% Comp Res Customers Served by More Than Five Electric Providers	99.70%	99.70%
<u>3</u>	Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg	85.00%	85.00%
<u>4</u>	Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg	70.00%	70.00%
<u>5</u>	Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg	75.00%	75.00%
<u>6</u>	Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg	115.00%	115.00%
<u>7</u>	Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg	70.00%	70.00%
OUTPUT MEASURES:			
<u>1</u>	Number of Retail Electric Providers Registered	112.00	112.00
<u>2</u>	Number of Cases Completed Related to Competition Among Providers	350.00	350.00
EFFICIENCY MEASURES:			
<u>1</u>	Avg # of Days to Process an Application for a Telecom COA & SPCOA	50.00	50.00
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	# of Elect Coops and Municipal Utilities Reg for Wholesale Trans Rate	37.00	37.00
<u>2</u>	% of Statewide Electric Generating Capacity Above Peak Demand in ERCOT	12.30%	12.30%
<u>3</u>	Percent of Energy Savings Goal Due to Energy Efficiency Programs	150.00%	150.00%
<u>4</u>	Percent of Demand Reduction Goal Due to Energy Efficiency Programs	200.00%	200.00%
<u>5</u>	Number of Power Generation Companies in Texas	359.00	359.00
<u>6</u>	Number of Aggregators in Texas	368.00	368.00
<u>7</u>	Number of Applications & Amendments for Cable Franchise Certificates	80.00	80.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	269,881	276,261
2005	TRAVEL	15,000	15,000
2009	OTHER OPERATING EXPENSE	20,000	20,000
TOTAL, OBJECT OF EXPENSE		\$304,881	\$311,261
METHOD OF FINANCING:			
1	General Revenue Fund	304,881	311,261
TOTAL, METHOD OF FINANCING		\$304,881	\$311,261

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.			
Allocation to Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities			
OUTPUT MEASURES:			
	<u>1</u> Number of Rate Cases Completed for Regulated Electric Utilities	65.00	65.00
	<u>2</u> Number of Rate Cases Completed for Telecommunications Providers	10.00	10.00
	<u>3</u> Number of Water Utility Rate Reviews Performed	140.00	140.00
	<u>4</u> Number of Water Certificate of Convenience Applications Processed	235.00	235.00
EFFICIENCY MEASURES:			
	<u>1</u> Average Number of Days to Process a Major Rate Case for TDU	200.00	200.00
EXPLANATORY/INPUT MEASURES:			
	<u>1</u> Number of Electric Utilities Regulated	14.00	14.00
	<u>2</u> Number of Telecommunications Service Providers Regulated	63.00	63.00
	<u>3</u> Number of Water and Sewer Utilities Regulated	680.00	680.00
OBJECTS OF EXPENSE:			
	1001 SALARIES AND WAGES	287,900	330,500
	2005 TRAVEL	7,500	8,000
	2009 OTHER OPERATING EXPENSE	10,000	10,000
TOTAL, OBJECT OF EXPENSE		\$305,400	\$348,500
METHOD OF FINANCING:			
	1 General Revenue Fund	305,400	79,460
	153 Water Resource Management	0	269,040
TOTAL, METHOD OF FINANCING		\$305,400	\$348,500

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name:		The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.	
Allocation to Strategy:		1-3-1	Conduct Investigations and Initiate Enforcement Actions
STRATEGY IMPACT ON OUTCOME MEASURES:			
	<u>1</u> % Agreements with Specific Provisions for Avoiding Future Violations	1.00%	1.00%
OUTPUT MEASURES:			
	<u>1</u> Number of Enforcement Investigations Conducted	200.00	200.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	140,150	113,650
2005	TRAVEL	0	4,000
2009	OTHER OPERATING EXPENSE	10,000	10,000
TOTAL, OBJECT OF EXPENSE		\$150,150	\$127,650
METHOD OF FINANCING:			
1	General Revenue Fund	150,150	85,150
153	Water Resource Management	0	42,500
TOTAL, METHOD OF FINANCING		\$150,150	\$127,650

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.			
Allocation to Strategy:		2-1-1	Provide Information and Educational Outreach to Customers
OUTPUT MEASURES:			
<u>1</u>	Number of Information Requests to Which Responses Were Provided	70,000.00	70,000.00
<u>2</u>	Number of Customer Information Products Distributed	1,250,000.00	1,250,000.00
EFFICIENCY MEASURES:			
<u>1</u>	% Customer Information Product Distributed Electronically	95.00%	95.00%
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Number of Website Hits to Customer Protection Home Page	390,000.00	390,000.00
<u>2</u>	# of Power - to - Choose Website Hits	1,000,000.00	1,000,000.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	25,000	5,000
2001	PROFESSIONAL FEES AND SERVICES	0	0
2005	TRAVEL	0	3,000
2009	OTHER OPERATING EXPENSE	12,500	5,000
TOTAL, OBJECT OF EXPENSE		\$37,500	\$13,000
METHOD OF FINANCING:			
1	General Revenue Fund	37,500	13,000
TOTAL, METHOD OF FINANCING		\$37,500	\$13,000

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	5,000	5,000
TOTAL, OBJECT OF EXPENSE		5,000	5,000
METHOD OF FINANCING:			
1	General Revenue Fund	5,000	5,000
TOTAL, METHOD OF FINANCING		5,000	5,000

4.B. Exceptional Items Strategy Allocation Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:02AM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Code	Description	Excp 2022	Excp 2023
Item Name:		The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.	
Allocation to Strategy:		3-1-2	Information Resources
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	28,750	22,150
2001	PROFESSIONAL FEES AND SERVICES	2,219	0
2009	OTHER OPERATING EXPENSE	5,000	5,000
TOTAL, OBJECT OF EXPENSE		\$35,969	\$27,150
METHOD OF FINANCING:			
1	General Revenue Fund	35,969	27,150
TOTAL, METHOD OF FINANCING		\$35,969	\$27,150

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.			
Allocation to Strategy: 3-1-3 Other Support Services			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	11,100	11,100
TOTAL, OBJECT OF EXPENSE		\$11,100	\$11,100
METHOD OF FINANCING:			
1	General Revenue Fund	11,100	11,100
TOTAL, METHOD OF FINANCING		\$11,100	\$11,100

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2022	Excp 2023
Item Name: Rulemaking and Writing Section			
Allocation to Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>1</u>	Average Annual Residential Telephone Bill as a % of National Average	110.00%	110.00%
<u>2</u>	Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg	80.00%	80.00%
<u>3</u>	Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg	70.00%	70.00%
<u>4</u>	Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg	97.00%	97.00%
<u>5</u>	% of Subscribers Served by Exchanges Meeting Service Quality Standards	85.00%	85.00%
<u>6</u>	% Electric Customers Served by Electric Utilities Meeting Standards	98.00%	98.00%
OUTPUT MEASURES:			
<u>1</u>	Number of Rate Cases Completed for Regulated Electric Utilities	65.00	65.00
<u>2</u>	Number of Rate Cases Completed for Telecommunications Providers	10.00	10.00
<u>3</u>	Number of Water Utility Rate Reviews Performed	110.00	110.00
<u>4</u>	Number of Water Certificate of Convenience Applications Processed	200.00	200.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Number of Days to Process a Major Rate Case for TDU	200.00	200.00
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Number of Electric Utilities Regulated	14.00	14.00
<u>2</u>	Number of Telecommunications Service Providers Regulated	63.00	63.00
<u>3</u>	Number of Water and Sewer Utilities Regulated	680.00	680.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	260,000	260,000
TOTAL, OBJECT OF EXPENSE		\$260,000	\$260,000
METHOD OF FINANCING:			
153	Water Resource Management	260,000	260,000
TOTAL, METHOD OF FINANCING		\$260,000	\$260,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service

OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts

STRATEGY: 1 Foster and Monitor Market Competition

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
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EFFICIENCY MEASURES:

<u>1</u> Avg # of Days to Process an Application for a Telecom COA & SPCOA	(5.00)	(5.00)
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	269,881	276,261
2005 TRAVEL	15,000	15,000
2009 OTHER OPERATING EXPENSE	20,000	20,000
Total, Objects of Expense	\$304,881	\$311,261

METHOD OF FINANCING:

1 General Revenue Fund	304,881	311,261
Total, Method of Finance	\$304,881	\$311,261

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service

OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards

Service Categories:

STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities

Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
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OUTPUT MEASURES:

<u>1</u> Number of Rate Cases Completed for Regulated Electric Utilities	5.00	5.00
<u>3</u> Number of Water Utility Rate Reviews Performed	30.00	30.00
<u>4</u> Number of Water Certificate of Convenience Applications Processed	35.00	35.00

EFFICIENCY MEASURES:

<u>1</u> Average Number of Days to Process a Major Rate Case for TDU	(20.00)	(20.00)
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	547,900	590,500
2005 TRAVEL	7,500	8,000
2009 OTHER OPERATING EXPENSE	10,000	10,000
Total, Objects of Expense	\$565,400	\$608,500

METHOD OF FINANCING:

1 General Revenue Fund	305,400	79,460
153 Water Resource Management	260,000	529,040
Total, Method of Finance	\$565,400	\$608,500

FULL-TIME EQUIVALENT POSITIONS (FTE):

3.0	3.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

Rulemaking and Writing Section

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service

OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders

Service Categories:

STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions

Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2022	Excp 2023
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OUTPUT MEASURES:

<u>1</u> Number of Enforcement Investigations Conducted	126.00	126.00
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EXPLANATORY/INPUT MEASURES:

<u>1</u> Dollar Amount Administrative Penalties Assessed for Violations	1,000,000.00	1,000,000.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	140,150	113,650
2005 TRAVEL	0	4,000
2009 OTHER OPERATING EXPENSE	10,000	10,000
Total, Objects of Expense	\$150,150	\$127,650

METHOD OF FINANCING:

1 General Revenue Fund	150,150	85,150
153 Water Resource Management	0	42,500
Total, Method of Finance	\$150,150	\$127,650

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers

OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access

Service Categories:

STRATEGY: 1 Provide Information and Educational Outreach to Customers

Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
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OUTPUT MEASURES:

<u>2</u> Number of Customer Information Products Distributed	125,000.00	125,000.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	25,000	5,000
2005 TRAVEL	0	3,000
2009 OTHER OPERATING EXPENSE	12,500	5,000
Total, Objects of Expense	\$37,500	\$13,000

METHOD OF FINANCING:

1 General Revenue Fund	37,500	13,000
Total, Method of Finance	\$37,500	\$13,000

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	5,000	5,000
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Total, Objects of Expense	\$5,000	\$5,000
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METHOD OF FINANCING:

1 General Revenue Fund	5,000	5,000
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Total, Method of Finance	\$5,000	\$5,000
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	28,750	22,150
2001 PROFESSIONAL FEES AND SERVICES	2,219	0
2009 OTHER OPERATING EXPENSE	5,000	5,000
Total, Objects of Expense	\$35,969	\$27,150

METHOD OF FINANCING:

1 General Revenue Fund	35,969	27,150
Total, Method of Finance	\$35,969	\$27,150

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

4.C. Exceptional Items Strategy Request
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
TIME: 10:11:03AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2022	Exp 2023
OBJECTS OF EXPENSE:		
1001 SALARIES AND WAGES	11,100	11,100
Total, Objects of Expense	\$11,100	\$11,100
METHOD OF FINANCING:		
1 General Revenue Fund	11,100	11,100
Total, Method of Finance	\$11,100	\$11,100

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 5 percent appropriation reduction from the 86th Session in 2020.

5.A. Capital Budget Project Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **9/18/2020**
 TIME : **10:11:03AM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2020

Bud 2021

BL 2022

BL 2023

5005 Acquisition of Information Resource Technologies

2/2 PC Replacement - Leased Desktops and Laptops

OBJECTS OF EXPENSE

Capital

General	2007	RENT - MACHINE AND OTHER		\$98,000	\$98,000	\$98,000	\$98,000
	Capital Subtotal OOE, Project	2		\$98,000	\$98,000	\$98,000	\$98,000
	Subtotal OOE, Project	2		\$98,000	\$98,000	\$98,000	\$98,000
	TYPE OF FINANCING						
	<u>Capital</u>						
General	CA	1 General Revenue Fund		\$98,000	\$98,000	\$98,000	\$98,000
	Capital Subtotal TOF, Project	2		\$98,000	\$98,000	\$98,000	\$98,000
	Subtotal TOF, Project	2		\$98,000	\$98,000	\$98,000	\$98,000
	Capital Subtotal, Category	5005		\$98,000	\$98,000	\$98,000	\$98,000
	Informational Subtotal, Category	5005					
	Total, Category	5005		\$98,000	\$98,000	\$98,000	\$98,000

7000 Data Center Consolidation

1/1 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

General	2001	PROFESSIONAL FEES AND SERVICES		\$310,027	\$328,138	\$312,866	\$302,254
	Capital Subtotal OOE, Project	1		\$310,027	\$328,138	\$312,866	\$302,254

5.A. Capital Budget Project Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME : 10:11:03AM

Agency code: 473

Agency name: Public Utility Commission of Texas

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		Est 2020	Bud 2021	BL 2022	BL 2023
Subtotal OOE, Project 1		\$310,027	\$328,138	\$312,866	\$302,254
TYPE OF FINANCING					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$310,027	\$328,138	\$312,866	\$302,254
Capital Subtotal TOF, Project 1		\$310,027	\$328,138	\$312,866	\$302,254
Subtotal TOF, Project 1		\$310,027	\$328,138	\$312,866	\$302,254
Capital Subtotal, Category 7000		\$310,027	\$328,138	\$312,866	\$302,254
Informational Subtotal, Category 7000					
Total, Category 7000		\$310,027	\$328,138	\$312,866	\$302,254
AGENCY TOTAL -CAPITAL		\$408,027	\$426,138	\$410,866	\$400,254
AGENCY TOTAL -INFORMATIONAL					
AGENCY TOTAL		\$408,027	\$426,138	\$410,866	\$400,254
METHOD OF FINANCING:					
<u>Capital</u>					
General	1 General Revenue Fund	\$408,027	\$426,138	\$410,866	\$400,254
Total, Method of Financing-Capital		\$408,027	\$426,138	\$410,866	\$400,254
Total, Method of Financing		\$408,027	\$426,138	\$410,866	\$400,254

5.A. Capital Budget Project Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **9/18/2020**
 TIME : **10:11:03AM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2020

Bud 2021

BL 2022

BL 2023

TYPE OF FINANCING:

Capital

General CA CURRENT APPROPRIATIONS

\$408,027

\$426,138

\$410,866

\$400,254

Total, Type of Financing-Capital

\$408,027

\$426,138

\$410,866

\$400,254

Total, Type of Financing

\$408,027

\$426,138

\$410,866

\$400,254

5.B. Capital Budget Project Information
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:04AM

Agency Code:	473	Agency name:	Public Utility Commission of Texas
Category Number:	7000	Category Name:	Data Center Consolidation
Project number:	1	Project Name:	Data Center Consolidation

PROJECT DESCRIPTION

General Information

The Texas Data Center Services (DCS) program allows the state to employ an enterprise approach to improve management of major technology infrastructure projects and promote efficient and effective data center operations. It includes two hardened data centers, designed around government security and disaster recovery requirements, and flexible service tiers to meet needs and budgets. Joining the program allows agencies delegate data center management while they focus on delivering direct, mission-related value to their business users and clients.

PLCS Tracking Key

Number of Units / Average Unit Cost	BL 2022 \$312,866
Estimated Completion Date	On-going

Additional Capital Expenditure Amounts Required

2024	2025
312,866	302,254

Type of Financing	CA CURRENT APPROPRIATIONS
Projected Useful Life	On-going
Estimated/Actual Project Cost	\$615,120
Length of Financing/ Lease Period	2 year

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

				Total over
2022	2023	2024	2025	project life
312,866	302,254	312,866	302,254	1,230,240

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>
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Explanation: Texas Government Code §2054.375, Subchapter L. Statewide Technology Centers requires DIR to manage a statewide data center consolidation and identify agencies for participation.

Project Location: Public Utility Commission of Texas
 1701 N. Congress Ave.
 Austin, Texas 78711-3326

Beneficiaries: As part of the Texas DCS program, participating agencies, internal and external customers.

Frequency of Use and External Factors Affecting Use:

Daily Operations

5.B. Capital Budget Project Information
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:04AM

Agency Code:	473	Agency name:	Public Utility Commission of Texas
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	2	Project Name:	PC Replacement

PROJECT DESCRIPTION

General Information

Agency desktop refresh plan to replace the existing inventory of end of life personal computers (PC's), and laptops with better processor technology capable of supporting a graphical user interface, client/server applications, and geographic information systems (GIS) to achieve enhance employee productivity. This project also provides laptops to allow our Commissioner's, attorneys, analysts, engineers and investigators to remotely access and input data and information in real-time using wireless data communication. Request is based on annual funding needs for a four year obligation on leasing of equipment. The PUC's current lease expires June 2023.

PLCS Tracking Key

Number of Units / Average Unit Cost BL 2021 \$ 98,000
Estimated Completion Date August 31, 2023

Additional Capital Expenditure Amounts Required

2024	2025
98,000	98,000

Type of Financing CA CURRENT APPROPRIATIONS
Projected Useful Life 4 years
Estimated/Actual Project Cost \$392,000
Length of Financing/ Lease Period 4 years

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

				Total over project life
2022	2023	2024	2025	
98,000	98,000	98,000	98,000	392,000

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>
---------------------------------	------------------------	------------------------------

Explanation: Without the full operation of the installed personal computer base infrastructure the agency could not accomplish its mission to protect customers , foster competition and promote high quality infrastructure.

Project Location: Public Utility Commission of Texas
 1701 N. Congress Ave.
 Austin, Tx 78711-3326

Beneficiaries: Internal and external customers of the PUC.

Frequency of Use and External Factors Affecting Use:

Daily Operations

Agency code: 473 Agency name: Public Utility Commission of Texas

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023	
5005 Acquisition of Information Resource Technologies						
2/2	<i>PC Replacement</i>					
<u>GENERAL BUDGET</u>						
Capital	1-1-1	MARKET COMPETITION	28,420	28,420	\$28,420	\$28,420
	1-2-1	UTILITY REGULATION	27,440	27,440	27,440	27,440
	1-3-1	INVESTIGATION AND ENFORCEMENT	13,720	13,720	13,720	13,720
	2-2-1	ASSIST CUSTOMERS	4,900	4,900	4,900	4,900
	2-1-1	INFORMATION AND EDUCATION EFFORTS	5,880	5,880	5,880	5,880
	3-1-1	CENTRAL ADMINISTRATION	11,760	11,760	11,760	11,760
	3-1-2	INFORMATION RESOURCES	4,900	4,900	4,900	4,900
	3-1-3	OTHER SUPPORT SERVICES	980	980	980	980
		TOTAL, PROJECT	\$98,000	\$98,000	\$98,000	\$98,000

7000 Data Center Consolidation

1/1 *Data Center Consolidation*

GENERAL BUDGET

Capital	1-1-1	MARKET COMPETITION	89,907	95,161	90,732	87,655
	1-2-1	UTILITY REGULATION	86,807	91,878	87,602	84,631
	1-3-1	INVESTIGATION AND ENFORCEMENT	43,404	45,939	43,801	42,315
	2-2-1	ASSIST CUSTOMERS	15,502	16,407	15,644	15,113
	2-1-1	INFORMATION AND EDUCATION EFFORTS	18,602	19,689	18,772	18,135
	3-1-1	CENTRAL ADMINISTRATION	37,204	39,377	37,544	36,271

5.C. Capital Budget Allocation to Strategies (Baseline)
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/18/2020
 TIME: 10:11:04AM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
Capital	3-1-2	INFORMATION RESOURCES	15,501	16,406	\$15,643	\$15,112
	3-1-3	OTHER SUPPORT SERVICES	3,100	3,281	3,128	3,022
		TOTAL, PROJECT	\$310,027	\$328,138	\$312,866	\$302,254
		TOTAL CAPITAL, ALL PROJECTS	\$408,027	\$426,138	\$410,866	\$400,254
		TOTAL INFORMATIONAL, ALL PROJECTS				
		TOTAL, ALL PROJECTS	\$408,027	\$426,138	\$410,866	\$400,254

473 Public Utility Commission of Texas

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
<i>Project Sequence/Name</i>					
Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
5005 Acquisition of Information Resource Technologies					
2 PC Replacement					
OOE					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	28,420	28,420	28,420	28,420
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	27,440	27,440	27,440	27,440
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	13,720	13,720	13,720	13,720
2-1-1 INFORMATION AND EDUCATION EFFORTS					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	5,880	5,880	5,880	5,880
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	4,900	4,900	4,900	4,900
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
2 PC Replacement					
2007	RENT - MACHINE AND OTHER	11,760	11,760	11,760	11,760
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	4,900	4,900	4,900	4,900
3-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	980	980	980	980
TOTAL, OOE's		\$98,000	\$98,000	98,000	98,000
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
1	General Revenue Fund	28,420	28,420	28,420	28,420
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
1	General Revenue Fund	27,440	27,440	27,440	27,440
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
1	General Revenue Fund	13,720	13,720	13,720	13,720
2-1-1 INFORMATION AND EDUCATION EFFORTS					
<u>General Budget</u>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
2 PC Replacement					
1	General Revenue Fund	5,880	5,880	5,880	5,880
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
1	General Revenue Fund	4,900	4,900	4,900	4,900
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	11,760	11,760	11,760	11,760
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	4,900	4,900	4,900	4,900
3-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
1	General Revenue Fund	980	980	980	980
TOTAL, GENERAL REVENUE FUNDS		\$98,000	\$98,000	98,000	98,000
TOTAL, MOFs		\$98,000	\$98,000	98,000	98,000

7000 Data Center Consolidation

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
1 Data Center Consolidation					
OOE					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	89,907	95,161	90,732	87,655
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	86,807	91,878	87,602	84,631
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	43,404	45,939	43,801	42,315
2-1-1 INFORMATION AND EDUCATION EFFORTS					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	18,602	19,689	18,772	18,135
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	15,502	16,407	15,644	15,113
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	37,204	39,377	37,544	36,271

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
1 Data Center Consolidation					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	15,501	16,406	15,643	15,112
3-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	3,100	3,281	3,128	3,022
TOTAL, OOE's		\$310,027	\$328,138	312,866	302,254
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
1	General Revenue Fund	89,907	95,161	90,732	87,655
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
1	General Revenue Fund	86,807	91,878	87,602	84,631
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
1	General Revenue Fund	43,404	45,939	43,801	42,315
2-1-1 INFORMATION AND EDUCATION EFFORTS					
<u>General Budget</u>					
1	General Revenue Fund	18,602	19,689	18,772	18,135

473 Public Utility Commission of Texas

Category Code/Name		Est 2020	Bud 2021	BL 2022	BL 2023
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
1 Data Center Consolidation					
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
1	General Revenue Fund	15,502	16,407	15,644	15,113
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	37,204	39,377	37,544	36,271
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	15,501	16,406	15,643	15,112
3-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
1	General Revenue Fund	3,100	3,281	3,128	3,022
TOTAL, GENERAL REVENUE FUNDS		\$310,027	\$328,138	312,866	302,254
TOTAL, MOFs		\$310,027	\$328,138	312,866	302,254

473 Public Utility Commission of Texas

		Est 2020	Bud 2021	BL 2022	BL 2023
CAPITAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS					
	TOTAL, GENERAL BUDGET	\$408,027	\$426,138	410,866	400,254
		408,027	426,138	410,866	400,254
	TOTAL, ALL PROJECTS	\$408,027	\$426,138	410,866	400,254

6.A. Historically Underutilized Business Supporting Schedule
 87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 9/18/2020
 Time: 10:11:05AM

Agency Code: 473 Agency: Public Utility Commission of Texas

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year - HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2018			Total Expenditures		HUB Expenditures FY 2019			Total Expenditures
			% Actual	Diff	Actual \$	FY 2018	% Goal	% Actual	Diff	Actual \$	FY 2019
23.7%	Professional Services	23.7 %	0.0%	-23.7%	\$0	\$7,500	23.7 %	0.0%	-23.7%	\$0	\$315
26.0%	Other Services	26.0 %	7.0%	-19.0%	\$55,394	\$795,441	26.0 %	13.3%	-12.7%	\$62,554	\$470,929
21.1%	Commodities	21.1 %	47.7%	26.6%	\$217,871	\$457,216	21.1 %	37.6%	16.5%	\$204,012	\$542,569
	Total Expenditures		21.7%		\$273,265	\$1,260,157		26.3%		\$266,566	\$1,013,813

B. Assessment of Fiscal Year - Efforts to Meet HUB Procurement Goals

Attainment:

In FY 2018 and FY 2019, PUC exceeds the goal for commodities due to the agency's increased efforts to utilize HUBs whenever possible for these types of purchases.

Applicability:

In fiscal years 2018 and 2019, the agency had no strategies or programs related to Heavy Construction, Building Construction and Special Trade (\$0 spent by the agency under each of these categories).

Factors Affecting Attainment:

The agency's work in the area categorized as Other Services is highly specialized in nature. Based on the agency's experience, only a few vendors qualified to perform these types of services are certified as HUBs.

"Good-Faith" Efforts:

The agency makes the following good-faith efforts to comply with the statewide HUB procurement goals per 34 TAC, Part 1, Chapter 20, Subchapter D, Division 1, §20.284: (1) obtained the required minimum two HUB bids (minority-owned business from the state's HUB database) for purchases of commodities and/or services over \$5000-except when releasing from master contracts; (2) for spot purchases below \$5000 which do not require bids, the agency routinely attempts to obtain bids from HUB vendors whenever possible. When competitive bids on spot purchases are not available, the agency routinely purchases from HUB vendors. This is particularly evident in office supplies and IT equipment and supplies; and (3) the PUC also includes the HUB Subcontracting Plan in every request for proposal, not just those with estimated value of \$100,000 or more, unless the proposal is exempted by the Texas Government Code.

6.E. Estimated Revenue Collections Supporting Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

FUND/ACCOUNT	Act 2019	Exp 2020	Est 2021	Est 2022	Est 2023
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3603 Universal Srvc Fund Reimbursement	616,778	708,150	465,000	465,000	465,000
3719 Fees/Copies or Filing of Records	10,341	4,711	10,000	10,000	10,000
Subtotal: Actual/Estimated Revenue	627,119	712,861	475,000	475,000	475,000
Total Available	\$627,119	\$712,861	\$475,000	\$475,000	\$475,000
DEDUCTIONS:					
Universal Srvc Fund Reimbursement	(428,489)	(490,728)	(465,000)	(465,000)	(465,000)
Fees/Copies of Filings of Records	(10,341)	(4,711)	(10,000)	(10,000)	(10,000)
Benefits Proportionate by MOF	(188,289)	(217,422)	0	0	0
Total, Deductions	\$(627,119)	\$(712,861)	\$(475,000)	\$(475,000)	\$(475,000)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

The PUC's revenue projections are based on historical revenues and deductions from Fund 666 – Appropriated Receipts. Reimbursements for the Texas Universal Service Fund (TUSF) increase above the \$465,000 baseline estimate in years where staff workload associated with TUSF rules and projects exceeds historical amounts. Much of this work results from legislation passed during the legislative session, which is difficult to estimate.

CONTACT PERSON:

Thomas Gleeson

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM

DATE: 9/18/2020
TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$58,815	\$60,000	\$60,000	\$60,000	\$60,000
2005	TRAVEL	\$3,300	\$3,300	\$3,300	\$3,300	\$3,300
2007	RENT - MACHINE AND OTHER	\$200	\$200	\$200	\$200	\$200
2009	OTHER OPERATING EXPENSE	\$200	\$200	\$200	\$200	\$200
TOTAL, OBJECTS OF EXPENSE		\$62,515	\$63,700	\$63,700	\$63,700	\$63,700
METHOD OF FINANCING						
1	General Revenue Fund	\$62,515	\$63,700	\$63,700	\$63,700	\$63,700
	Subtotal, MOF (General Revenue Funds)	\$62,515	\$63,700	\$63,700	\$63,700	\$63,700
TOTAL, METHOD OF FINANCE		\$62,515	\$63,700	\$63,700	\$63,700	\$63,700
FULL-TIME-EQUIVALENT POSITIONS		0.9	0.9	0.9	0.9	0.9

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The PUC addresses homeland security through a variety of activities. It has cyber security and physical security team that is working with utilities and the Texas Department of Public Safety (DPS) to defend and respond to security threats. The PUC also has an emergency management response team that helps staff the State Operations Center (SOC) operated by the DPS's Texas Division of Emergency Management (TDEM) during emergency activation's of the SOC. In addition, the PUC provides input in the Annual Implementation Plan for the Texas Homeland Security Strategic Plan, and the Homeland Security Plan, which is produced every 5 years, and works with the DPS in response to information requested by the federal Department of Homeland Security. The PUC also attends various homeland security related meetings, including quarterly meetings of the Texas Homeland Security Council and the Drought Preparedness Council.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM

DATE: 9/18/2020

Funds Passed through to Local Entities

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART A - TERRORISM

DATE: 9/18/2020

Funds Passed through to State Agencies

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART B - NATURAL OR MAN-MADE DISASTERS

DATE: 9/18/2020
TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$117,630	\$118,000	\$118,000	\$118,000	\$118,000
2005	TRAVEL	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
2007	RENT - MACHINE AND OTHER	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000
2009	OTHER OPERATING EXPENSE	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000
TOTAL, OBJECTS OF EXPENSE		\$121,630	\$122,000	\$122,000	\$122,000	\$122,000
METHOD OF FINANCING						
1	General Revenue Fund	\$121,630	\$122,000	\$122,000	\$122,000	\$122,000
	Subtotal, MOF (General Revenue Funds)	\$121,630	\$122,000	\$122,000	\$122,000	\$122,000
TOTAL, METHOD OF FINANCE		\$121,630	\$122,000	\$122,000	\$122,000	\$122,000
FULL-TIME-EQUIVALENT POSITIONS		1.8	1.8	1.8	1.8	1.8

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The PUC addresses homeland security through a variety of activities. It has cyber security and physical security team that is working with utilities and the Texas Department of Public Safety (DPS) to defend and respond to security threats. The PUC also has an emergency management response team that helps staff the State Operations Center (SOC) operated by the DPS's Texas Division of Emergency Management (TDEM) during emergency activation's of the SOC. In addition, the PUC provides input in the Annual Implementation Plan for the Texas Homeland Security Strategic Plan, and the Homeland Security Plan, which is produced every 5 years, and works with the DPS in response to information requested by the federal Department of Homeland Security. The PUC also attends various homeland security related meetings, including quarterly meetings of the Texas Homeland Security Council and the Drought Preparedness Council.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART B - NATURAL OR MAN-MADE DISASTERS

DATE: 9/18/2020

Funds Passed through to Local Entities

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART B - NATURAL OR MAN-MADE DISASTERS

DATE: 9/18/2020

Funds Passed through to State Agencies

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

DATE: 9/18/2020
 TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$0	\$430,040	\$100,000	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$5,097	\$1,000	\$0	\$0
2004	UTILITIES	\$0	\$41,040	\$45,000	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$45,972	\$15,000	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$0	\$522,149	\$161,000	\$0	\$0
METHOD OF FINANCING						
1	General Revenue Fund	\$0	\$522,149	\$161,000	\$0	\$0
	Subtotal, MOF (General Revenue Funds)	\$0	\$522,149	\$161,000	\$0	\$0
TOTAL, METHOD OF FINANCE		\$0	\$522,149	\$161,000	\$0	\$0
FULL-TIME-EQUIVALENT POSITIONS		0.0	5.0	1.5	0.0	0.0

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The PUC address's the COVID-19 situation through a variety of activities. The priority of the agency was with regards to the safety of all PUC employees in which all staff were instructed to telework remotely. With this action, there were also additional associated costs including staff phone reimbursement, office supplies, and other operational equipment purchases as necessary. This also includes approximately \$3,000 per month to conduct virtual open meetings. The cost for the virtual meetings is not reflected in the FY 2020 estimated numbers but is reflected in the Budget FY 2021 utilities. The 2020 Est does not reflect the cost of the virtual open meetings of \$15,000 since the invoice was receive in September and was not reported to the LBB for 2020. Additionally, the PUC established the Electricity Relief Program (ERP). This program allowed people who filed for unemployment benefits through the Texas Workforce Commission and people who already receive SNAP or Medicaid through the Health and Human Services Commission to receive a reduction on their electric bill. The ERP also placed a moratorium on disconnections for non-payment from March through September 2020.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

DATE: 9/18/2020

Funds Passed through to Local Entities

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

DATE: 9/18/2020

Funds Passed through to State Agencies

TIME: 10:11:10AM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
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**6.H. Estimated Total of All Agency Funds Outside the GAA Bill Pattern
Public Utility Commission of Texas**

ESTIMATED GRAND TOTAL OF AGENCY FUNDS OUTSIDE THE 2022–23 GAA BILL PATTERN	\$	198,213,870
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Fund Name		
Estimated Beginning Balance in FY 2020	\$	130,192,824
Estimated Revenues FY 2020	\$	129,619,036
Estimated Revenues FY 2021	\$	105,756,747
FY-2020–21 Total	\$	365,568,607
Estimated Beginning Balance in FY 2022	\$	50,101,857
Estimated Revenues FY 2022	\$	82,989,088
Estimated Revenues FY 2023	\$	65,122,925
FY 2022–23 Total	\$	198,213,870

Constitutional or Statutory Creation and Use of Funds:

The Public Utility Regulatory Act (PURA) Chapter 56 (Telecommunications Assistance and Universal Service Fund), Subchapter B. (Universal Service Fund) Sec. 56.021 (Universal Service Fund Established) requires the Commission to adopt and enforce rules requiring Local Exchange Telephone companies to establish a universal service fund. The fund may be used to (1) assist telecommunications providers in providing basic local telecommunications service at reasonable rates in high cost rural areas; (2) reimburse the telecommunications carrier that provides the statewide telecommunications relay access service, Relay Texas, under Subchapter D; (3) finance the specialized telecommunications assistance program under Subchapter E; (4) reimburse the department of Health and Human Services, Texas Commission for the Deaf and Hard of Hearing, and the Public Utility Commission for costs incurred in implementing Chapter 56 and Chapter 57 of PURA; (5) reimburse telecommunication carriers that provide Lifeline telephone discount to low income customers as provided by 47 C.F.R. Part 54, Subpart E, as amended; (6) finance the implementation and administration of an integrated eligibility process created under Section 17.007 for Lifeline discounts, including outreach expenses the commission determines are reasonable and necessary; (7) reimburse a provider designated to serve an uncertificated area under Subchapter F; (8) reimburse a successor utility designated to serve under Subchapter G; and (9) finance the audio newspaper assistance program.

Method of Calculation and Revenue Assumptions:

PURA Sec. 56.022 requires that the Universal Service Fund be funded by a statewide uniform charge set by the Public Utility Commission. The fee is assessed on telecommunications receipts, and is charged to and paid by each telecommunications provider that has access to the customer base. The carriers are authorized to pass the charge on to the customer.

The revenue estimate is based on a 3 year trend analysis of the taxable telecommunications receipts for 2018-2020. The current Texas Universal Service Fund taxable rate is 3.3%. The estimated taxable receipts are: FY 2020 \$3,759,415,089; FY 2021 \$3,204,749,907; FY 2022 \$2,514,820,848; FY 2023 \$1,973,421,976. This estimate assumes a 12% reduction per year in taxable communications receipts based on the trend analysis

6.L. Document Production Standards

Summary of Savings Due to Improved Document Production Standards

Agency Code: 473	Agency Name: Public Utility Commission of Texas	Prepared By: Thomas Gleeson
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Documented Production Standards Strategies	Estimated 2020	Budgeted 2021
1. Electronic distribution of open meeting documents	\$250	\$500
2. Duplex Printing	\$1,070	\$1,070
3.	\$0	\$0
4.	\$0	\$0
Total, All Strategies	\$1,320	\$1,570
Total Estimated Paper Volume Reduced	177,000.00	204,000.00

Description: In 2020, the commission implemented electronic distribution of all open meeting documents. This distribution practice will continue in the future. The commission continues to encourage duplex printing.
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7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

Strategy		Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
3-1-1	Central Administration					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$462,685	\$ 487,930	\$ 487,930	\$ 487,930	\$ 487,930
1002	OTHER PERSONNEL COSTS	54,482	33,520	33,520	33,520	33,520
2001	PROFESSIONAL FEES AND SERVICES	53,410	45,821	49,404	45,821	49,404
2003	CONSUMABLE SUPPLIES	2,445	6,000	6,000	6,000	6,000
2004	UTILITIES	1,155	1,560	1,560	1,560	1,560
2005	TRAVEL	256	7,800	7,800	7,800	7,800
2006	RENT - BUILDING	874	1,200	1,200	1,200	1,200
2007	RENT - MACHINE AND OTHER	14,199	26,400	26,400	26,400	26,400
2009	OTHER OPERATING EXPENSE	65,201	52,219	52,219	52,219	52,219
Total, Objects of Expense		\$654,707	\$662,450	\$666,033	\$662,450	\$666,033
METHOD OF FINANCING:						
1	General Revenue Fund	597,707	605,450	609,033	605,450	609,033
666	Appropriated Receipts	57,000	57,000	57,000	57,000	57,000
Total, Method of Financing		\$654,707	\$662,450	\$666,033	\$662,450	\$666,033
FULL TIME EQUIVALENT POSITIONS		6.4	6.7	6.7	6.7	6.7

Method of Allocation

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
3-1-1 Central Administration					

Indirect administration is used to account for staff time that does not relate to a direct program, specific project, rule, or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

Strategy		Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
3-1-2	Information Resources					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$200,108	\$ 177,332	\$ 183,932	\$ 183,932	\$ 183,932
1002	OTHER PERSONNEL COSTS	19,434	11,050	11,050	11,050	11,050
2001	PROFESSIONAL FEES AND SERVICES	22,497	14,668	18,380	14,668	18,380
2003	CONSUMABLE SUPPLIES	1,156	2,500	2,500	2,500	2,500
2004	UTILITIES	772	650	650	650	650
2005	TRAVEL	5,169	3,250	3,250	3,250	3,250
2006	RENT - BUILDING	378	500	500	500	500
2007	RENT - MACHINE AND OTHER	5,948	11,000	11,000	8,000	11,000
2009	OTHER OPERATING EXPENSE	26,826	14,023	14,023	10,423	14,023
Total, Objects of Expense		\$282,288	\$234,973	\$245,285	\$234,973	\$245,285
METHOD OF FINANCING:						
1	General Revenue Fund	258,538	211,223	221,535	211,223	221,535
666	Appropriated Receipts	23,750	23,750	23,750	23,750	23,750
Total, Method of Financing		\$282,288	\$234,973	\$245,285	\$234,973	\$245,285
FULL TIME EQUIVALENT POSITIONS		2.7	2.8	2.8	2.8	2.8

Method of Allocation

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

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Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
3-1-2 Information Resources					

Indirect administration is used to account for staff time that does not relate to a direct program, specific project, rule, or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

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473 Public Utility Commission of Texas

Strategy		Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
3-1-3	Other Support Services					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$41,821	\$ 26,211	\$ 26,211	\$ 26,211	\$ 26,211
1002	OTHER PERSONNEL COSTS	1,431	3,210	3,210	3,210	3,210
2001	PROFESSIONAL FEES AND SERVICES	4,458	3,886	4,185	3,886	4,185
2003	CONSUMABLE SUPPLIES	196	500	500	500	500
2004	UTILITIES	57	130	130	130	130
2005	TRAVEL	0	650	650	650	650
2006	RENT - BUILDING	73	100	100	100	100
2007	RENT - MACHINE AND OTHER	1,181	2,200	2,200	2,200	2,200
2009	OTHER OPERATING EXPENSE	5,591	4,412	4,412	4,412	4,412
Total, Objects of Expense		\$54,808	\$41,299	\$41,598	\$41,299	\$41,598
METHOD OF FINANCING:						
1	General Revenue Fund	50,058	36,549	36,848	36,549	36,848
666	Appropriated Receipts	4,750	4,750	4,750	4,750	4,750
Total, Method of Financing		\$54,808	\$41,299	\$41,598	\$41,299	\$41,598
FULL TIME EQUIVALENT POSITIONS		0.5	0.6	0.6	0.6	0.6

Method of Allocation

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

Exp 2019

Est 2020

Bud 2021

BL 2022

BL 2023

Indirect administration is used to account for staff time that does not relate to a direct program, specific project, rule, or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

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	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$704,614	\$691,473	\$698,073	\$698,073	\$698,073
1002 OTHER PERSONNEL COSTS	\$75,347	\$47,780	\$47,780	\$47,780	\$47,780
2001 PROFESSIONAL FEES AND SERVICES	\$80,365	\$64,375	\$71,969	\$64,375	\$71,969
2003 CONSUMABLE SUPPLIES	\$3,797	\$9,000	\$9,000	\$9,000	\$9,000
2004 UTILITIES	\$1,984	\$2,340	\$2,340	\$2,340	\$2,340
2005 TRAVEL	\$5,425	\$11,700	\$11,700	\$11,700	\$11,700
2006 RENT - BUILDING	\$1,325	\$1,800	\$1,800	\$1,800	\$1,800
2007 RENT - MACHINE AND OTHER	\$21,328	\$39,600	\$39,600	\$36,600	\$39,600
2009 OTHER OPERATING EXPENSE	\$97,618	\$70,654	\$70,654	\$67,054	\$70,654
Total, Objects of Expense	\$991,803	\$938,722	\$952,916	\$938,722	\$952,916
Method of Financing					
1 General Revenue Fund	\$906,303	\$853,222	\$867,416	\$853,222	\$867,416
666 Appropriated Receipts	\$85,500	\$85,500	\$85,500	\$85,500	\$85,500
Total, Method of Financing	\$991,803	\$938,722	\$952,916	\$938,722	\$952,916
Full-Time-Equivalent Positions (FTE)	9.6	10.1	10.1	10.1	10.1

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1-1-1 Foster and Monitor Market Competition					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$332,885	\$370,110	\$369,482	\$369,482	\$369,482
1002 OTHER PERSONNEL COSTS	19,390	8,758	8,758	8,758	8,758
2001 PROFESSIONAL FEES AND SERVICES	19,995	10,104	10,957	10,733	10,957
2003 CONSUMABLE SUPPLIES	2,994	1,625	1,625	1,625	1,625
2004 UTILITIES	955	371	371	371	371
2005 TRAVEL	1,919	675	675	675	675
2006 RENT - BUILDING	699	286	286	286	286
2007 RENT - MACHINE AND OTHER	4,267	7,427	7,427	7,426	7,427
2009 OTHER OPERATING EXPENSE	53,364	12,640	12,640	12,640	12,640
5000 CAPITAL EXPENDITURES	1,017	0	0	0	0
Total, Objects of Expense	\$437,485	\$411,996	\$412,221	\$411,996	\$412,221
METHOD OF FINANCING:					
1 General Revenue Fund	427,479	398,428	398,652	398,428	398,653
666 Appropriated Receipts	10,006	13,568	13,569	13,568	13,568
Total, Method of Financing	\$437,485	\$411,996	\$412,221	\$411,996	\$412,221
FULL-TIME-EQUIVALENT POSITIONS (FTE):	4.6	4.3	5.0	5.0	5.0
DESCRIPTION					
Direct administration is used to account for staff time that directly supports a PUC program, specific project, rule, or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1-2-1	Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities				
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$641,663	\$708,073	\$702,978	\$702,978	\$702,978
1002 OTHER PERSONNEL COSTS	39,547	14,159	14,159	14,159	14,159
2001 PROFESSIONAL FEES AND SERVICES	30,245	72,828	72,422	72,828	72,422
2003 CONSUMABLE SUPPLIES	4,164	3,095	3,095	3,095	3,095
2004 UTILITIES	998	435	435	435	435
2005 TRAVEL	1,846	1,849	1,789	1,849	1,789
2006 RENT - BUILDING	704	335	335	335	335
2007 RENT - MACHINE AND OTHER	4,930	10,955	10,955	10,955	10,955
2009 OTHER OPERATING EXPENSE	73,731	27,191	27,192	32,286	27,192
5000 CAPITAL EXPENDITURES	5,313	0	0	0	0
Total, Objects of Expense	\$803,141	\$838,920	\$833,360	\$838,920	\$833,360
METHOD OF FINANCING:					
1 General Revenue Fund	480,413	477,202	503,819	477,202	503,819
153 Water Resource Management	306,822	345,811	313,634	345,811	313,634
666 Appropriated Receipts	15,906	15,907	15,907	15,907	15,907
Total, Method of Financing	\$803,141	\$838,920	\$833,360	\$838,920	\$833,360
FULL-TIME-EQUIVALENT POSITIONS (FTE):	8.9	8.6	10.0	10.0	10.0
DESCRIPTION					
Direct administration is used to account for staff time that directly supports a PUC program, specific project, rule, or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1-3-1	Conduct Investigations and Initiate Enforcement Actions				
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$214,635	\$250,817	\$253,864	\$253,864	\$253,864
1002 OTHER PERSONNEL COSTS	10,927	6,640	6,640	6,640	6,640
2001 PROFESSIONAL FEES AND SERVICES	11,947	5,929	6,410	5,929	6,410
2003 CONSUMABLE SUPPLIES	1,066	1,081	1,081	1,081	1,081
2004 UTILITIES	300	209	209	209	209
2005 TRAVEL	290	1,415	955	1,415	955
2006 RENT - BUILDING	387	161	161	161	161
2007 RENT - MACHINE AND OTHER	2,472	4,968	4,968	3,818	4,968
2009 OTHER OPERATING EXPENSE	30,744	7,827	7,827	5,930	7,827
Total, Objects of Expense	\$272,768	\$279,047	\$282,115	\$279,047	\$282,115
METHOD OF FINANCING:					
1 General Revenue Fund	265,121	245,639	253,595	245,639	253,595
153 Water Resource Management	0	25,760	20,873	25,760	20,873
666 Appropriated Receipts	7,647	7,648	7,647	7,648	7,647
Total, Method of Financing	\$272,768	\$279,047	\$282,115	\$279,047	\$282,115
FULL-TIME-EQUIVALENT POSITIONS (FTE):	3.0	2.9	3.3	3.3	3.3
DESCRIPTION					
Direct administration is used to account for staff time that directly supports a PUC program, specific project, rule, or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2-1-1 Provide Information and Educational Outreach to Customers					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$80,706	\$86,582	\$88,390	\$88,390	\$88,390
1002 OTHER PERSONNEL COSTS	3,189	1,700	1,700	1,700	1,700
2001 PROFESSIONAL FEES AND SERVICES	4,184	1,457	2,297	1,050	2,297
2003 CONSUMABLE SUPPLIES	391	301	301	301	301
2004 UTILITIES	112	59	59	59	59
2005 TRAVEL	306	384	113	113	113
2006 RENT - BUILDING	143	45	45	45	45
2007 RENT - MACHINE AND OTHER	860	1,320	1,320	868	1,320
2009 OTHER OPERATING EXPENSE	11,287	1,743	1,743	1,065	1,743
Total, Objects of Expense	\$101,178	\$93,591	\$95,968	\$93,591	\$95,968
METHOD OF FINANCING:					
1 General Revenue Fund	99,031	91,444	93,821	91,444	93,821
666 Appropriated Receipts	2,147	2,147	2,147	2,147	2,147
Total, Method of Financing	\$101,178	\$93,591	\$95,968	\$93,591	\$95,968
FULL-TIME-EQUIVALENT POSITIONS (FTE):	1.1	1.2	1.4	1.4	1.4
DESCRIPTION					
Direct administration is used to account for staff time that directly supports a PUC program, specific project, rule, or contested case.					

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Strategy	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
2-2-1 Assist Customers in Resolving Disputes					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$105,817	\$110,966	\$110,966	\$110,966	\$110,966
1002 OTHER PERSONNEL COSTS	3,632	3,763	3,763	3,763	3,763
2001 PROFESSIONAL FEES AND SERVICES	5,281	3,193	3,389	3,193	3,389
2003 CONSUMABLE SUPPLIES	506	526	526	526	526
2004 UTILITIES	143	103	103	103	103
2005 TRAVEL	0	671	671	671	671
2006 RENT - BUILDING	186	79	79	79	79
2007 RENT - MACHINE AND OTHER	1,068	2,316	2,317	2,316	2,317
2009 OTHER OPERATING EXPENSE	14,513	4,054	4,054	4,054	4,054
Total, Objects of Expense	\$131,146	\$125,671	\$125,868	\$125,671	\$125,868
METHOD OF FINANCING:					
1 General Revenue Fund	127,395	121,920	122,117	121,920	122,117
666 Appropriated Receipts	3,751	3,751	3,751	3,751	3,751
Total, Method of Financing	\$131,146	\$125,671	\$125,868	\$125,671	\$125,868
FULL-TIME-EQUIVALENT POSITIONS (FTE):	1.5	1.5	1.7	1.7	1.7
DESCRIPTION					
Direct administration is used to account for staff time that directly supports a PUC program, specific project, rule, or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$1,375,706	\$1,526,548	\$1,525,680	\$1,525,680	\$1,525,680
1002 OTHER PERSONNEL COSTS	\$76,685	\$35,020	\$35,020	\$35,020	\$35,020
2001 PROFESSIONAL FEES AND SERVICES	\$71,652	\$93,511	\$95,475	\$93,733	\$95,475
2003 CONSUMABLE SUPPLIES	\$9,121	\$6,628	\$6,628	\$6,628	\$6,628
2004 UTILITIES	\$2,508	\$1,177	\$1,177	\$1,177	\$1,177
2005 TRAVEL	\$4,361	\$4,994	\$4,203	\$4,723	\$4,203
2006 RENT - BUILDING	\$2,119	\$906	\$906	\$906	\$906
2007 RENT - MACHINE AND OTHER	\$13,597	\$26,986	\$26,987	\$25,383	\$26,987
2009 OTHER OPERATING EXPENSE	\$183,639	\$53,455	\$53,456	\$55,975	\$53,456
5000 CAPITAL EXPENDITURES	\$6,330	\$0	\$0	\$0	\$0
Total, Objects of Expense	\$1,745,718	\$1,749,225	\$1,749,532	\$1,749,225	\$1,749,532
Method of Financing					
1 General Revenue Fund	\$1,399,439	\$1,334,633	\$1,372,004	\$1,334,633	\$1,372,005
153 Water Resource Management	\$306,822	\$371,571	\$334,507	\$371,571	\$334,507
666 Appropriated Receipts	\$39,457	\$43,021	\$43,021	\$43,021	\$43,020
Total, Method of Financing	\$1,745,718	\$1,749,225	\$1,749,532	\$1,749,225	\$1,749,532
Full-Time-Equivalent Positions (FTE)	19.1	18.5	21.4	21.4	21.4